



Ministero della Salute



Agenzia Nazionale per i Servizi Sanitari Regionali

1

2

3

4

5

6

7

8

HTA Report

9

10

Medical devices for treatment-resistant hypertension

11

12

13



14

15



16

17

18

19

March 2017

20

21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

This report should be cited as: Jefferson T, Abraha I, Amicosante AMN, Cioni R, Corio M, Migliore A, Taddei S, Vassanelli C, Cerbo M – HTA Report – Medical devices for treatment-resistant hypertension. Agenas, Agenzia nazionale per i servizi sanitari regionali. Rome, March 2017.

48 **Contributions**

49

50 **Authors**

51 Jefferson T¹, Abraha I¹, Amicosante AMV¹, Cioni R², CorioM¹, Migliore A¹, Taddei S³, Vassanelli
52 C⁴, Cerbo M¹

53

54 ¹Agenas–Agenzia Nazionale per i Servizi Sanitari Regionali, Area Funzionale Innovazione, Sperimentazione
55 e Sviluppo

56 ² Sezione Dipartimentale di Radiologia Interventistica Azienda Ospedaliero-Universitaria Pisana

57 ³U.O. di Medicina Interna Universitaria-Azienda Ospedaliero-Universitaria Pisana

58 ⁴UOC di Cardiologia e DAI Cardiovascolare e Toracico, Azienda Ospedaliera Universitaria Integrata di
59 Verona

60

61 **Corresponding author**

62 Jefferson Tom, (jefferson@agenas.it)

63

64 **External Reviewer**

65 Mannone Tommaso - Area Qualità e gestione rischio clinico – A.O.O.R. “Villa Sofia-Cervello” di
66 Palermo

67

68 **Acknowledgements**

69 Authors and Agenas would like to thank Patrizia Brigoni (Agenas) and Fabio Bernardini (Agenas)
70 for performing the literature searches, Emilio Chiarolla (Agenas) for database search and
71 analysis, Marina Cerbo (Agenas) for internal review, and Antonella Cavallo (Agenas) and Mario
72 Del Giacco (Agenas) for stakeholders involvement management.

73 **Declaration of conflict of interest and privacy**

74 Authors declare that they will not receive benefits or harms from the publication of this report.
75 None of the authors have or have held shares, consultancies or personal relationships with any
76 of the producers of the devices assessed in this document.

77 Mannone Tommaso declares that in the last three years he collaborated with Dephaforum s.r.l.
78 and he received congressional reimbursement from Novartis, MSD and Pfizer.

79 Taddei Stefano declares that in the last three years he was a consultant of the Therapy
80 Committee of the Tuscany Region, he collaborated with Bayer Health Care, Menarini, Novartis
81 and Servier International. In the last three years he received congressional reimbursement from
82 Servier International and he participated in meetings organized by Servier International and
83 Pfizer.

84 **Funding**

85 The production of this HTA report was made possible by financial contributions from the Italian
86 Ministry of Health and Agenas.

87

88 **Index**

89

90 **ABSTRACT 5**

91 **SINTESI IN ITALIANO 6**

92 **INTRODUCTION 10**

93 **1. REPORT’S OBJECTIVES: POLICY AND RESEARCH QUESTIONS 12**

94 **2. HEALTH PROBLEM AND CURRENT USE OF TECHNOLOGY 13**

95 **3. DESCRIPTION AND TECHNICAL CHARACTERISTICS OF TECHNOLOGY 21**

96 **4. REGULATORY ASPECTS 27**

97 **5. CLINICAL EFFECTIVENESS AND SAFETY 31**

98 **6. COSTS AND ECONOMIC EVALUATION 44**

99 **7. DISCUSSION 63**

100 **8. RECOMMENDATIONS 66**

101 **LIST OF ACRONYMS AND ABBREVIATIONS 67**

102 **BIBLIOGRAPHY 69**

103

104

105 **Abstract**

106 **Background:** Treatment-resistant hypertension is a prevalent and life-threatening condition. At
107 present, renal denervation is the most used non-pharmaceutical therapeutic approach. Optimal
108 medical therapy (OMT) is the current standard.

109 **Aim:** To assess the potential benefits and risk of renal denervation for treatment-resistant
110 hypertension in addition to OMT compared with OMT alone.

111 **Methods:** We ran searches of the national hospital discharge database to describe the level of use
112 of renal denervation in Italy. We performed systematic review of evidence on effectiveness, safety,
113 and economic impact of renal denervation.

114 **Results:** During 2010–2014, the total number of percutaneous renal denervation procedures per
115 year ranged between 25 and 166. Five manufacturers are present on the Italian market. Available
116 systems received the CE mark between 2011 and 2014 but none have received FDA approval. We
117 included ten studies of six trials in the review and carried out a meta-analysis. We found no
118 evidence of dominance or increased harms compared to OMT. One small trial reported dominance
119 of carefully adjusted and supervised OMT. We included four economic evaluations – based on
120 short-term clinical data – which reported dominance of renal denervation. Three were based on
121 the same Markov model with assumptions of dominance of the procedure compared to OMT. We
122 estimated average prospective cost of the procedure as € 6,129.90 (range € 3,821.15 –
123 € 9,714.23).

124 **Conclusions:** Randomised controlled trial evidence shows no benefits of the procedure, but
125 follow-up was limited to 6 months. This finding remains unexplained. Economic evaluations are
126 unreliable as they are based on costs derived from publications, unrealistic assumptions of
127 effectiveness, and contrived therapy regimes. Further investment in renal denervation should await
128 the results of well-designed and adequately followed-up trials assessing the impact of renal
129 denervation on major cardiovascular events compared to OMT. Good quality economic evaluations
130 should be based on realistic assumptions.

131

132 Sintesi in italiano

133

134 Introduzione

135 Il presente report di HTA tratta le terapie non farmacologiche per il trattamento dell'ipertensione
136 resistente. Le più recenti linee guida della Società Europea di Cardiologia (2013) individuano due
137 approcci terapeutici non farmacologici per il trattamento dell'ipertensione resistente: la terapia di
138 stimolazione dei barocettori carotidei e la denervazione transcateretere dell'arteria renale. Il
139 comparatore selezionato per la presente valutazione è la terapia medica ottimale (TMO), approccio
140 che comprende modifiche dello stile di vita (esercizio fisico, dieta, astinenza dal fumo) combinate a
141 terapia farmacologica (almeno tre farmaci antipertensivi, uno dei quali è un diuretico, secondo la
142 miglior dose tollerata).

143 La presente valutazione si concentra sui sistemi di denervazione renale transcateretere poiché la
144 valutazione della terapia di stimolazione dei barocettori carotidei è stata effettuata nel 2015
145 dall'Agenzia Sanitaria e Sociale Regionale (ASSR) della Regione Emilia-Romagna (partner RIHTA,
146 rete italiana per l'HTA). Il rapporto ASSR aveva concluso che *"la qualità e la quantità delle prove
147 attualmente disponibili non è considerata sufficiente per stabilire efficacia e sicurezza del
148 dispositivo. I principali elementi di incertezza non saranno chiariti dagli studi attualmente in corso
149 in quanto finalizzati alla valutazione di esiti surrogati su periodi di osservazione brevi"*. Il presente
150 report di HTA presenta solo un aggiornamento dell'evidenza sulla terapia di stimolazione dei
151 barocettori carotidei.

152

153 Obiettivi

154 Il report di HTA è stato sviluppato al fine di rispondere al seguente quesito di ricerca: *"Quali sono
155 gli effetti dell'aggiunta della denervazione renale transcateretere alla TMO in pazienti con
156 ipertensione resistente?"*

157

158 Metodi

159 Il presente report di HTA è stato costruito utilizzando un adattamento della versione 2.0
160 dell'applicazione *"Medical and surgical procedures"* del Core Model[®] di EUnetHTA. Le specifiche
161 aree di indagine, denominate domini e suddivise in quesiti denominati *Assessment Elements (AE)*,
162 sono presentate sequenzialmente nei diversi capitoli del documento.

163 Sono stati sviluppati i seguenti domini:

- 164
- Problema sanitario e uso corrente della tecnologia (CUR)

- 165 ▪ Descrizione e caratteristiche della tecnologia (TEC)
- 166 ▪ Aspetti regolatori (REG)
- 167 ▪ Efficacia clinica (EFF) e Sicurezza (SAF);
- 168 ▪ Costi e valutazione economica (ECO).

169 I relativi AE sono stati sviluppati, secondo pertinenza, effettuando ricerche su banche dati
170 nazionali, indagini dirette presso i produttori della tecnologia in esame e loro siti web, revisione
171 sistematica della letteratura clinica ed economica.

172

173 **Risultati**

174 ***Problema sanitario e uso corrente della tecnologia (CUR)***

175 La prevalenza dell'ipertensione resistente ha stime che vanno dal 5% al 30% della popolazione
176 totale di ipertesi (30-45% della popolazione generale). In Italia, nel periodo 2010–2014, il numero
177 totale di procedure di denervazione renale per anno ha avuto valori compresi tra 25 (nel 2010) e
178 166 (nel 2013). L'età media dei 420 pazienti trattati era 61,35 anni e il 61,2% erano maschi. La
179 diagnosi principale più frequentemente associata alla procedura di denervazione renale era
180 ipertensione essenziale (65% dei casi).

181 L'analisi del database NSIS Flusso Consumi, diventato obbligatorio per le Regioni solo nel 2013, ha
182 mostrato una probabile sottostima in termini di unità acquistate (cateteri per la denervazione
183 renale) con costi unitari da € 3.224 a € 7.930.

184

185 ***Descrizione e caratteristiche della tecnologia (TEC)***

186 La denervazione dell'arteria renale transcatetere consiste nella distruzione bilaterale delle fibre
187 nervose che viaggiano lungo la parete dell'arteria renale a causa dell'aumento localizzato della
188 temperatura provocato attraverso specifici cateteri per ablazione a radiofrequenza o ad ultrasuoni.

189 La procedura è in genere eseguita in anestesia locale o sedazione cosciente, sotto guida
190 fluoroscopica, all'interno di un laboratorio di cateterizzazione, da professionisti esperti in procedure
191 endovascolari (cardiologi e/o radiologi interventisti). L'accesso percutaneo può essere eseguito
192 attraverso l'arteria femorale o radiale. La procedura ha tempi caratteristici che dipendono dal
193 sistema di ablazione utilizzato. Medtronic è il produttore che nel 2009 ha aperto la strada alla
194 metodica con il sistema Symplicity. Ad oggi, cinque produttori sono presenti sul mercato italiano:
195 Boston Scientific, Medtronic, ReCor Medical, St. Jude Medical e Terumo. Quattro sistemi di
196 denervazione renale sono a radiofrequenza mentre solo uno è ad ultrasuoni. Le maggiori
197 differenze tra i sistemi sono da ricercarsi nella conformazione del catetere (a cestello non
198 occlusivo, a punta flessibile, a stent elicoidale, con elettrodi su palloncino).

199 ***Aspetti regolatori (REG)***

200 I sistemi di denervazione renale transcateretere disponibili sul mercato italiano hanno ricevuto il
201 marchio CE tra il 2011 e il 2014. Nessun sistema ha ancora ricevuto l'approvazione della FDA
202 americana. In Italia non esiste un rimborso specifico per la procedura di denervazione renale
203 transcateretere che viene quindi rimborsata utilizzando il codice DRG 120 - "*Altri interventi*
204 *sull'apparato circolatorio*" associato ad una tariffa massima nazionale di € 6.876.

205

206 ***Efficacia clinica (EFF) e Sicurezza (SAF)***

207 *Terapia di stimolazione dei barocettori carotidei*

208 Nessuno dei tre studi identificati come in corso nel 2015 all'interno del report di valutazione
209 pubblicato da ASSR – Emilia-Romagna è stato completato o aveva pubblicato risultati preliminari.
210 Due studi (NCT01471834 e NCT01679132) risultavano ancora in corso con completamento atteso
211 entro Luglio 2016 e Settembre 2017. Lo studio ESTIM-rHTN (NCT02364310) risultava ancora in
212 fase di reclutamento con completamento previsto a Novembre 2018. Un nuovo studio in corso è
213 stato identificato: lo studio Nordic BAT (NCT02572024). Trattasi di uno studio randomizzato in
214 doppio cieco finalizzato a studiare l'effetto della terapia di stimolazione dei barocettori carotidei
215 rispetto alla terapia farmacologica in 100 soggetti. Tale studio sarà completato entro Novembre
216 2020.

217 *Denervazione renale transcateretere*

218 In totale sono state analizzate 10 pubblicazioni, riconducibili a 7 studi clinici, 6 dei quali
219 randomizzati (887 pazienti in totale). Sono stati considerati i seguenti esiti: variazioni dei valori
220 pressori sistolici e diastolici, mortalità (tutte le cause), mortalità cardiaca, eventi cardiovascolari
221 maggiori (infarto del miocardio, collasso cardiaco, ictus). Nonostante l'entusiasmo iniziale legato ai
222 risultati dei primi studi, l'analisi degli studi più recenti non ha mostrato alcuna riduzione
223 significativa della pressione arteriosa, sistolica o diastolica, misurata ambulatorialmente
224 (ambulatory setting) a 6 mesi di follow-up. Solo due studi hanno mostrato una riduzione dei valori
225 di pressione diastolica alle misurazioni domiciliari durante 6 mesi di follow-up. Gli studi sono inoltre
226 risultati affetti da notevole eterogeneità legata alle definizioni di ipertensione resistente, alle
227 tecniche per la misura della pressione e ai livelli di aderenza alla terapia farmacologica da parte dei
228 pazienti. Il periodo di follow-up degli studi non è stato considerato sufficientemente lungo per
229 trarre conclusioni robuste sulla mortalità e sull'insorgenza di eventi cardiovascolari.

230

231

232

233 **Costi e valutazione economica (ECO)**

234 Quattro studi sono stati inclusi nella revisione sistematica della letteratura economica. Tutti gli
235 studi erano delle analisi di costo-utilità e tre di essi hanno sviluppato anche un'analisi di costo-
236 efficacia. La qualità generale degli studi è stata giudicata medio-alta. Nonostante sia risultata
237 associata a maggiori costi, la procedura di denervazione renale transcatetere è apparsa più costo-
238 efficace del trattamento standard grazie alle assunzioni di maggiore efficacia adottate nei modelli
239 economici degli studi. L'analisi dei costi per il contesto italiano ha mostrato valori compatibili con
240 quelli riportati nella letteratura internazionale. Il costo dei dispositivi per la denervazione renale
241 sembra avere la maggiore incidenza sul costo totale della procedura, stimato nel range € 3,821 – €
242 9,709.

243

244 **Conclusioni**

245 In merito alla terapia di stimolazione dei recettori carotidei, restano invariate le conclusioni tratte
246 nel 2015 dalla ASSR – Regione Emilia-Romagna.

247 Per quanto riguarda la denervazione renale transcatetere, una spiegazione per l'apparente
248 mancanza di effetto nella riduzione dei valori pressori in soggetti ipertesi potrebbe ricercarsi
249 proprio nelle caratteristiche anatomiche delle arterie renali della popolazione oggetto di studio,
250 danneggiate dalla condizione stessa e nelle quali i meccanismi di regolazione pressoria potrebbero
251 essere compromessi.

252 Diversi studi sono attualmente in corso per chiarire l'utilizzo della procedura di denervazione renale
253 transcatetere. Tuttavia, la maggior parte di essi, non utilizza come comparatore lo standard di cura
254 (TMO) bensì procedure simulate (*sham procedure*). Particolarmente attesi saranno i risultati dagli
255 studi NCT01570777 (chiusura prevista entro il 2018) e NCT01888315 (chiusura prevista entro il
256 2021) finalizzati ad un confronto diretto della procedura di denervazione con la TMO.

257

258 **Raccomandazioni**

259 Si raccomanda di attendere i risultati degli studi clinici in corso prima di utilizzare o investire
260 ulteriormente sulla denervazione renale transcatetere. I futuri studi economici sull'impatto della
261 denervazione renale transcatetere dovrebbero essere basati su assunzioni di efficacia realistiche,
262 supportate da dati provenienti da studi clinici di buona qualità.

263

264 Introduction

265 This document was developed following the EUnetHTA Core Model[®] application for “Medical and
266 surgical procedures” version 2.0. The Core Model is divided into domains representing each a
267 specific area of technology impact to be assessed. Each domain contains a series of research
268 questions or Assessment Elements (AEs) identified by a capital letter and number (e.g., A0001).
269 To test the Core Model applicability, an adapted model was elaborated by Agenas (see Appendix 1
270 for a full description). The use of the Core Model is mirrored in the structure of this report, where
271 each chapter corresponds to a domain and reports the AEs considered for the assessment.

272 Treatment-resistant hypertension is the condition of interest in the present assessment. According
273 to the latest ESH-ESC guidelines (2013), two non-drug therapeutic approaches are available for
274 treatment-resistant hypertension: carotid baroreceptor stimulation therapy and renal artery
275 denervation. Optimal medical therapy (OMT), defined as lifestyle modifications (such as exercise,
276 diet and smoking abstinence) together with drug treatment (with at least three antihypertensive
277 agents, one of which is a diuretic, at best tolerated doses) is the comparator selected for the
278 present assessment.

279 The assessment focuses on renal denervation systems, as the assessment of carotid baroreceptor
280 stimulation therapy has been carried out in 2015 by the Agenzia Sanitaria e Sociale Regionale
281 (ASSR) of Regione Emilia-Romagna (partner of RIHTA, the Italian Regional HTA network). The
282 ASSR report concluded that *"quality and quantity of presently available evidence is not considered
283 sufficient to yet claim efficacy and safety of the device. Main uncertainties will not be resolved by
284 results from presently ongoing studies, assessing only surrogate outcomes over a short period of
285 time."*¹.

286 An evidence status update on carotid baroreceptor stimulation therapy was performed during the
287 preliminary phases of the present assessment. None of the three studies identified as ongoing in
288 2015 by the ASSR – Emilia-Romagna report¹ were completed or had published preliminary results
289 at the time of writing (June 2016). Two studies (NCT01471834 and NCT01679132) were still
290 ongoing but not recruiting participants, and will complete in July 2016 and September 2017,
291 respectively. The ESTIM-rHTN study (NCT02364310) is still recruiting and should be completed in
292 November 2018. A new ongoing study was identified: the Nordic BAT study (NCT02572024), a
293 randomised, double-blind, parallel-design clinical trial of 100 subjects, that examines the effect of
294 baroreceptor stimulation therapy compared to continuous pharmacotherapy on blood pressure, as
295 well as arterial and cardiac function and structure. The Nordic BAT study will be completed in

296 November 2020. No changes to the conclusions of the report on carotid baroreceptor stimulation
297 therapy published by ASSR – Emilia-Romagna¹ can be made.

298

299 **1. Report's objectives: policy and research questions**

300 A HTA report was developed to answer the following:

301 **Policy Question:** What is the impact of the introduction and use of renal denervation systems in
302 the management of subjects with treatment-resistant hypertension?

303 **Research Question:** What are the effects of adding renal denervation to OMT?

304 The following domains were developed within the present rapid HTA report:

- 305 ▪ Health problem and current use of technology (CUR)
- 306 ▪ Description and technical characteristics of technology (TEC)
- 307 ▪ Regulatory aspects (REG)
- 308 ▪ Clinical effectiveness (EFF) and Safety (SAF);
- 309 ▪ Costs and economic evaluation (ECO).

310 For each investigated domain, the selected Assessment Elements (AEs) are listed in Appendix 2.

311

312 2. Health problem and current use of technology

313

314 Methods

315 The AEs of this domain were:

Assessment Element ID	Research question
A0001	A0001a: For which health condition is the technology proposed? A0001b: Which group of patients represents the target population for the technology? A0001c: For what purposes is the technology used?
A0002	What is the health condition in the scope of this assessment?
A0006	What are the statistics of incidence, prevalence, morbidity, and mortality of the health condition?
A0024	How is the health condition identified/diagnosed?
A0003	What are the known risk factors for the health condition?
A0004	What is the natural course of the health condition?
A0005	What are the symptoms for the patient at different stages of the health condition?
G0009	G0009a: Who decides which people are eligible for the technology? G0009b: On what basis is the eligibility for the technology decided?
A0018	What are the alternatives to the current management of the health condition?
A0011	What is the diffusion of the technology across the Italian regions?
B0001b	What is the comparator?
B0004b	Who performs or administers the comparator?
B0005b	In what context and level of care is the comparator used?

316

317

318

319 All the AEs selected within the domain were developed. The health condition of interest (treatment-
320 resistant hypertension) was described using international and national literature. Specific searches
321 were performed to identify the latest reviews and epidemiological studies. European and Italian
322 guidelines were searched to define diagnosis and management of the condition.

323 The level of use of renal denervation in Italy was described by using information contained in the
324 New Health Information System (NSIS) as the official source of the Ministry of Health that contains
325 data validated and standardised for all the national territory. We selected hospital discharges
326 "SDO" Database to analyse the level of use of the renal denervation procedures in Italy. The source
327 of data for this study was database from 2010 to 2014 (SDO 2010-2014). There are no specific
328 procedure codes for percutaneous renal denervation procedure. So, this procedure may be
329 identified by no specific ICD-9-CM code 05.25 – *Periarterial sympathectomy*. We searched records
330 discharges showing this code corresponding to principal or other procedures. Descriptive analyses
331 were done on national and regional estimates on numbers of procedures performed. Hospital

332 discharge characteristics were estimated and tabulated. Data management and analyses were
333 done using SAS Studio v.3 for servers (SAS Institute Inc, Cary, NC).

334 The volume of renal denervation systems purchased was presented by searching the national
335 database "NSIS – Flusso Consumi", aimed to register all the medical devices acquisition events
336 performed by public healthcare providers. Searches were performed from 2012, year in which the
337 database was launched (as a pilot project), and 2015, latest year available. The analysis was
338 limited to the number of renal denervation catheters purchased per year, at national level, and the
339 average minimum and maximum acquisition price per single catheter registered during 2015.

340

341 **Results**

342 Percutaneous renal artery denervation is offered to patients with treatment-resistant hypertension
343 with the aim of lowering blood pressure values by the interruption of the neurogenic reflexes
344 involved in blood pressure control (**A0001**).

345

346 ***Description of treatment-resistant hypertension***

347 Hypertension, or high blood pressure, caused by the force that blood is exerting on the walls of the
348 arteries is higher than desirable. According to the guidelines from the European Society of
349 Hypertension (ESH) and the European Society of Cardiology (ESC), hypertension is defined as
350 values >140 mmHg of systolic blood pressure (SBP) and/or >90 mmHg of diastolic blood pressure
351 (DBP)². The same classification, first recommended in 2003, remained unchanged until now and is
352 used in young, middle-aged and elderly subjects, whereas different criteria are adopted in children
353 and teenagers.

354 Hypertension is universally considered the major cardiovascular risk factor due to its high
355 prevalence in the general population and impact on cardiovascular mortality and morbidity³.
356 Correlations between high blood pressure values and cardiovascular events such as stroke,
357 myocardial infarction, sudden death, heart failure and peripheral artery disease, and end-stage
358 renal disease, have been addressed in a large number of observational studies⁴. Hypertension is
359 very often concomitant to additional cardiovascular risk factors, such as smoking, dyslipidaemia
360 (high values of cholesterol and triglycerides), and obesity.

361 Hypertension can be managed with lifestyle modifications (such as exercise, diet and smoking
362 abstinence) and antihypertensive drug treatment. However, in some patients, these approaches do
363 not achieve the desired reduction. Treatment-resistant hypertension is defined as high blood
364 pressure that remains above the goal of 140/90 mmHg despite the adoption of lifestyle changes

365 and a treatment with at least three antihypertensive agents (one of which is a diuretic) at best
366 tolerated doses².

367 Treatment-resistant hypertension is the condition of interest in the present assessment **(A0002)**.
368 Causes of treatment-resistant hypertension may be lifestyle factors (e.g., obesity or large weight
369 gains), excessive alcohol consumption and high sodium intake, chronic intake of vasopressor or
370 sodium-retaining substances, obstructive sleep apnoea, undetected secondary forms of
371 hypertension and advanced and irreversible organ damage (particularly when it involves renal
372 function or leads to a marked increase in arteriolar wall-lumen ratio or reduction of large artery
373 distensibility)² **(A0003)**.

374

375 ***Epidemiology of treatment-resistant hypertension***

376 Depending on the population examined and the level of medical screening, the prevalence of
377 treatment-resistant hypertension ranges from 5 to 30% of the overall hypertensive population, with
378 figures less than 10% probably representing the true prevalence² **(A0006)**. Overall, the
379 prevalence of hypertension appears to be around 30–45% of the general population, with a steep
380 increase with ageing². In Italy, the prevalence of hypertension in the adult population aged 35-74
381 decreased from 1998 to 2008, going from 59.0% to 53.7% in men and from 48.4% to 39.4% in
382 women⁵ **(A0006)**.

383

384 ***Diagnosis of treatment-resistant hypertension***

385 Usually patients do not experience symptoms that are associated with hypertension or treatment-
386 resistant hypertension⁶ **(A0005)**. Since treatment-resistant hypertension has multifactorial origin,
387 its evaluation should verify the diagnosis of hypertension, excluding pseudo-resistant patients (e.g.,
388 white-coat hypertension), uncover any causes of secondary hypertension and clarify the
389 cardiovascular risk, organ damage and related clinical conditions. A medical history should be
390 included in the clinical evaluation, as should a family history with regard to hypertension, a physical
391 examination, laboratory investigations and further diagnostic tests. Ambulatory blood pressure
392 should be monitored regularly, not only to exclude spurious resistance but also to quantify the
393 blood pressure elevation and the subsequent effect of the treatment modifications². The evaluation
394 of patients with treatment-resistant hypertension should be directed toward confirming actual
395 treatment resistance⁶ **(A0024)**.

396

397 **Clinical course and prognosis of treatment-resistant hypertension**

398 The natural course of treatment-resistant hypertension has been inadequately appraised. In
399 general, if left untreated, hypertension will increase the risk of cardiovascular diseases, stroke, and
400 renal failure in a population of subjects that frequently presents other cardiovascular risk factors,
401 such as diabetes, obstructive sleep apnoea and left ventricular hypertrophy⁷ **(A0004)**.

402

403 **Management of treatment-resistant hypertension**

404 Hypertension is usually diagnosed and managed in primary care **(B0005b)**. The ESH-ESC
405 guidelines suggest that, for an effective management, a multidisciplinary approach is required: the
406 general practitioner should take care of the majority of patients, involving other specialists
407 (internists, cardiologists, nephrologists, endocrinologists and dieticians) when needed² **(B0004b)**.

408 Most resistant hypertensive patients require the administration of more than three drugs. It is
409 recommended that physicians check whether the drugs included in the existing multiple drugs
410 regimen have any blood pressure lowering effect, and withdraw them if their effect is absent or
411 minimal². Subgroup analyses of large-scale studies showed that all drug classes with mechanisms
412 of action partially or totally different from those of the existing three drug regimens can lower
413 blood pressure in some resistant hypertensive individuals⁸. Such approach is known as optimal
414 medical therapy (OMT) and represents the standard of care for patients with treatment-resistant
415 hypertension **(B0001b)**.

416 Two non-drug therapeutic approaches to treatment-resistant hypertension are mentioned within
417 the latest ESH-ESC guidelines: carotid baroreceptor stimulation therapy and renal artery
418 denervation (class of recommendation IIb, level of recommendation C)² **(A0018)**. Carotid
419 baroreceptor stimulation therapy is administered by an implantable device that electrically activates
420 the carotid baroreflex, which controls blood pressure by regulating nervous activity. Renal artery
421 denervation consists of bilateral destruction of the renal nerves travelling along the renal artery and
422 linked to blood pressure control, by ablation catheters of various design, inserted percutaneously
423 through the femoral or radial artery.

424 According to the ESH-ESC guidelines, these approaches should be offered by experienced
425 operators within hypertension centres and need to be considered only for resistant hypertensive
426 patients, with clinic values ≥ 160 mmHg of SBP or ≥ 110 mmHg DBP and with blood pressure
427 elevation confirmed by ambulatory blood pressure monitoring (class of recommendation I, level of
428 recommendation C) **(G0009)**².

429

430 **Current use of renal denervation in Italy**

431 *Percutaneous renal denervation hospital discharges*

432 In the five years between 2010 and 2014, exploration showed that 420 Italian hospital discharges
 433 matched the procedure code (ICD-9CM 05.25). The annual number of estimated cases of
 434 percutaneous renal denervation was relatively small. Table 1 shows the trend of procedures carried
 435 out in Italy from 2010 to 2014: data show an increasing trend until 2013, when 166 procedures
 436 were carried out country-wide, the highest figure so far.

437 **Table 1:** Number of percutaneous renal denervation procedures (Italy, 2010-2014)

Year	2010	2011	2012	2013	2014	Total
Number of procedures	25	46	84	166	99	420

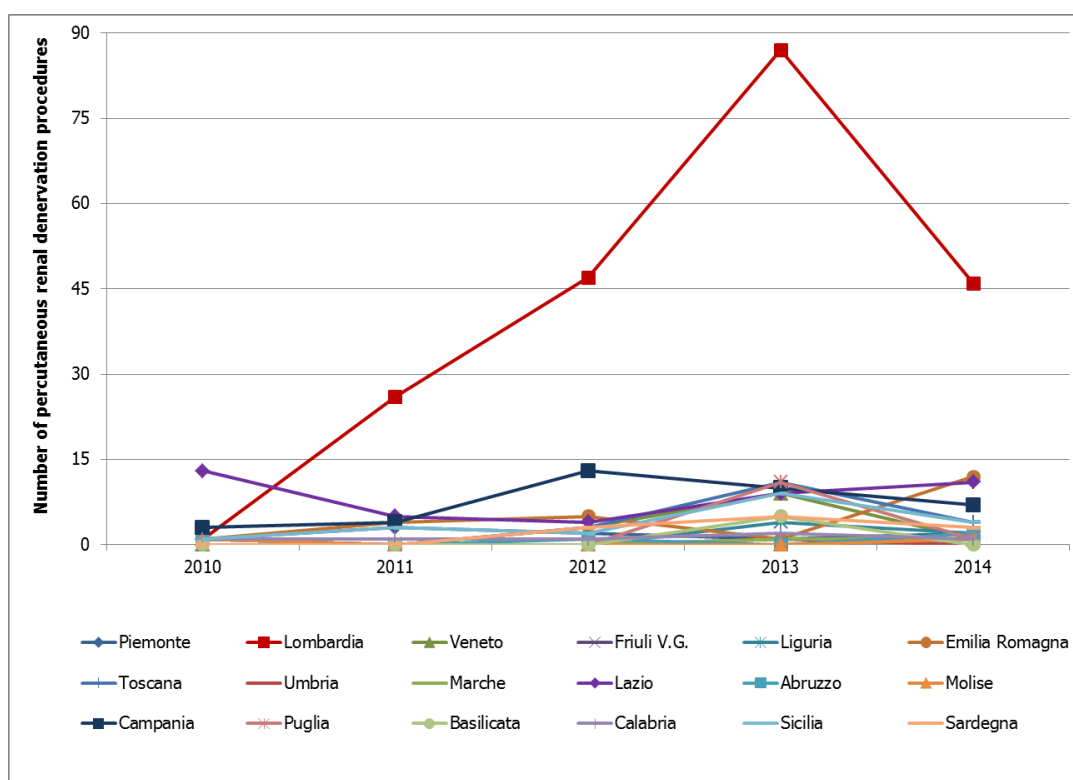
438 **Source:** Agenas analysis based on SDO 2010 – 2014

439

440 Figure 1 shows the trend of procedure number performed in Italian Regions in the five years under
 441 review. In all Regions less than 10 procedures per year were performed except for Lombardia.
 442 However, some Regions (Campania, Lazio, Emilia-Romagna) show outlier values in some years
 443 **(A0011).**

444

445 **Figure 1:** Number of percutaneous renal denervation procedures performed from 2010 to 2014 in the Italian Regions.



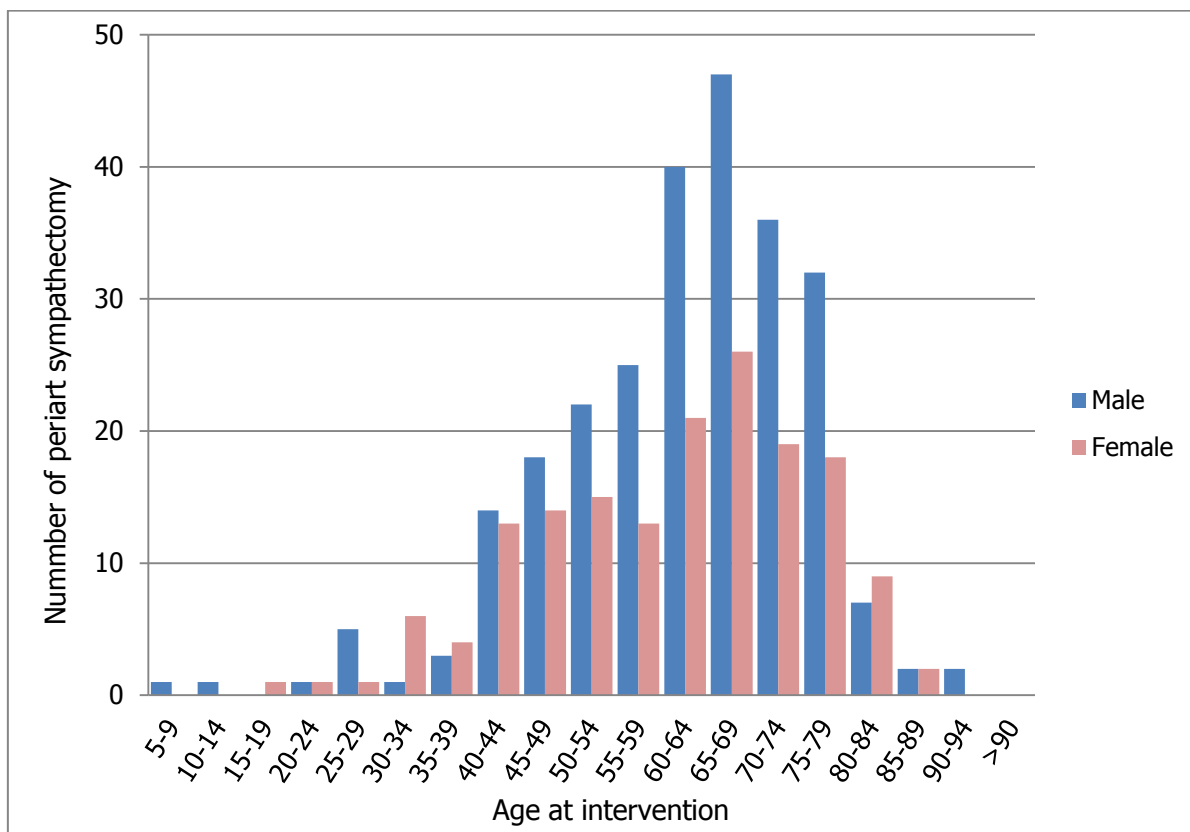
446

447 **Source:** Agenas analysis based on SDO 2010 – 2014

448 Based on the discharges recorded in the SDO database for period 2010-2014, 61.2% of 420
 449 procedures were performed on males (**A0001b**). The average age of patients was 61.35 years
 450 (SD: 13.82, median: 64, range: 5-90). Figure 2 shows the age distribution, per 5-year category,
 451 per gender.

452

453 **Figure 2:** Periarterial sympathectomy: age class distribution per gender (Italy, 2010-2014).



454

455 **Source:** Agenas analysis based on SDO 2010 – 2014.

456

457

458 417 patients out of 420 were admitted to hospital for at least one night. The mean length of stay
 459 was 4.9 days (SD: 5.3, median: 3, range: 1-59).

460 The 78.3% of 420 periarterial sympathectomies was recorded as a principal procedure. The most
 461 frequent 3-digit ICD9-CM codes of principal concomitant diagnosis with periarterial sympathectomy
 462 (principal or secondary procedure) was essential hypertension (65%) followed by hypertensive
 463 heart disease (8.33%) and secondary hypertension (3.09%) (see Table 2). The list of detailed
 464 codes (5 digit) of principal diagnosis can be found in Appendix 3.

465

466

467

468 **Table 2:** Most frequent 3-digit ICD9-CM of principal diagnosis associated to periarterial sympathectomy (ICD9-CM
 469 procedure code 05.25).

3 digits ICD9-CM codes of principal diagnosis	Number of cases	Percentage
Periarterial sympathectomy coded as principal procedure		
401 - Essential hypertension	236	56.19
402 - Hypertensive heart disease	35	8.33
405 - Secondary hypertension	13	3.09
404 - Hypertensive heart and renal disease	11	2.62
Other diagnosis	34	8.10
Periarterial sympathectomy coded as secondary procedure		
401 - Essential hypertension	37	8.81
440 - Atherosclerosis	11	2.62
Other diagnosis	43	10.24
Total	420	100.00

470 **Source:** Agenas analysis based on SDO 2010 – 2014.

471

472

473 *Volume purchased*

474 The total number of units purchased (i.e., catheters) by public healthcare providers showed an
 475 increase from 2012 (40 units) to 2013 (93 units) and then started to decrease up to 2015 (46
 476 units). The average acquisition price ranged from a minimum of € 3,224.29 to a maximum of
 477 € 7,930.00.

478

479 **Conclusions**

480 Treatment-resistant hypertension is defined as high blood pressure that remains above the goal of
 481 140/90 mmHg despite the adoption of lifestyle changes and a treatment with at least three
 482 antihypertensive agents (one of which is a diuretic) at best tolerated doses. Causes of treatment-
 483 resistant hypertension may be lifestyle factors (e.g., obesity or large weight gains), excessive
 484 alcohol consumption and high sodium intake, chronic intake of vasopressor or sodium-retaining
 485 substances, obstructive sleep apnoea, undetected secondary forms of hypertension, and advanced
 486 and irreversible organ damage. The prevalence of treatment-resistant hypertension ranges from 5
 487 to 30% of the overall hypertensive population (estimated to be 30–45% of the general population).

488 OMT is currently the standard management strategy for patients with treatment-resistant
489 hypertension. Two non-drug therapies for treatment-resistant hypertension are mentioned within
490 the latest ESH-ESC guidelines²: carotid baroreceptor stimulation therapy and renal artery
491 denervation. As already reported this assessment focuses on the renal denervation systems, as
492 carotid baroreceptor stimulation therapy has been recently assessed by one of the RIHTA partners
493 (ASSR Emilia-Romagna in 2015).

494 The total number of annual percutaneous renal denervation procedures ranged from 25 to 166
495 from 2010 to 2014. Patients were on average 61.35 years old and 61.2% of them were male. The
496 most frequent principal diagnosis concomitant with periarterial sympathectomy was essential
497 hypertension (65% of episodes).

498 Data from the "NSIS – Flusso Consumi" database may underestimate the real scenario both in
499 terms of units purchased and acquisition price as the collection became mandatory for the Regions
500 only in 2013.

501

502 3. Description and technical characteristics of technology

503

504 Methods

505 The AEs of this domain were:

Assessment Element ID	Research question
B0001	What is this technology?
B0003	What is the phase of development of the technology?
B0004	How is the technology used?
B0005	In which setting and level of care is the technology used?
B0007	Does the technology require additional/special equipment/tools or accommodation?
B0009	What disposables and supplies are needed to use the technology?
F0001	F0001a: Is the technology new/innovative? F0001b: Is the technology an add-on, a replacement or a modification of the standard mode of care?

506

507

508

509 All the AEs selected within the domain were developed. The technology (percutaneous renal artery
510 denervation) and its technical characteristics were presented by using information gathered by a
511 structured questionnaire sent to manufacturers (as described in Appendix 4) supplemented by *ad*
512 *hoc* internet searches, manufacturers' websites, product brochures, instructions for use (IFU)
513 documents, and regulatory bodies' databases.

514

515 Results

516 Percutaneous renal artery denervation implicates the interruption of the neurogenic reflexes
517 involved in blood pressure control². The procedure consists in the bilateral destruction of the renal
518 nerves travelling along the renal artery wall by increasing local temperature using ablation
519 catheters based on radiofrequency (RF) or other energy-delivery mechanisms (e.g., ultrasounds)⁷
520 **(B0001)**.

521 Proof-of-concept of renal denervation therapy was published in 2009: 45 patients were treated
522 across centres in Australia and Europe between 2007 and 2008⁹. The device used was the
523 Symplicity Catheter System manufactured by Ardian, Inc. The company was acquired by Medtronic,
524 Inc. in 2010. The device became commercially available in Europe in 2011. Since 2011 other
525 companies have developed different catheter designs (Table 3) **(B0003)**. Today the procedure is
526 considered an add-on to the standard management of treatment-resistant hypertension as treated
527 patients are not requested to interrupt lifestyle modifications and OMT **(F0001)**.

528 The procedure is generally performed under fluoroscopic guidance, in a catheterisation laboratory,
 529 by professionals trained in endovascular procedures (typically, interventional cardiologists,
 530 interventional radiologists or vascular surgeons), and carried out using local anaesthesia, conscious
 531 sedation and anticoagulation **(B0005)**. The renal denervation system consists of a guiding
 532 catheter, an ablation catheter, and a generator. The guiding catheter is introduced via the femoral
 533 or the radial artery and advanced into each renal artery under fluoroscopic control. The ablation
 534 catheter, which is connected to the generator, is then advanced into the guiding catheter and
 535 delivers energy according to specific patterns. The number of ablations necessary and the time to
 536 generate the lesions varies according to the specific system being used¹⁰ **(B0004)**.

537

538 **Table 3:** Percutaneous renal denervation systems registered within the Italian National Medical Devices Inventory and
 539 Database (Banca Dati e Repertorio Dispositivi Medici – BD/RDM). All the devices listed in the table are CE marked.

Renal denervation system	Manufacturer	BD/RDM registration number(s)*	On the market [§]
EnligHTN Multi-electrode Renal Denervation System	St. Jude Medical (now Abbot)	529950, 530067 , 951455, 529890, 951498, 610769	Yes
Iberis Renal Denervation System	Terumo	978333, 978372 , 978375	Yes
OneShot Renal Denervation System	Covidien	604589, 604590, 604591 , 604567, 590441, 590582, 590587 , 590167	No
Paradise Ultrasound Denervation System (with Paradise and Radiance catheter)	ReCor Medical	1047286 , 1047331, 1048135, 1047266, 1164738, 891936 , 891955, 891938, 891956, 891834, 1162030, 1162847, 1162848 , 1164738	Yes
Symplicity Renal Denervation System (with Flex ^{§§} and Spyral catheter)	Medtronic	308430, 313927 , 308920, 519824 1065226 , 1064010	Yes
Vessix Renal Denervation System	Boston Scientific	802752, 1159154 , 1159107	Yes

540 **Registration numbers of all the components of the renal denervation systems; catheters' registration numbers are*
 541 *marked in bold.*

542 *§Italian market.*

543 *§§Symplicity Flex catheter is the first generation catheter and, even if still on the market, it is not promoted anymore by*
 544 *the manufacturer.*

545 **Source:** Data from BD/RDM database (accessed on 10th May 2016). Devices are listed in alphabetical order by device
 546 name.

547

548

549 Equipment and tools needed for the renal denervation procedure are the ones typically used for an
 550 endovascular procedure with percutaneous femoral/radial artery access **(B0007)**.

551 The main features and technical characteristics of the renal denervation systems available on the
 552 Italian market are presented in Table 4. The special features of the different ablation catheters are

553 briefly summarised in the following paragraphs together with the specific equipment and tools
 554 needed for the procedure.

555

556 **Table 4:** Technical characteristics of the percutaneous renal denervation systems available on the Italian market.
 557 Adapted from¹¹ and supplemented by ad hoc internet searches on specific systems. Devices are listed in alphabetical
 558 order by device name.

Device name	Energy	Design and array	Number of electrodes	Energy delivery time	Treatment time	Guide size
EnligHTN	Unipolar RF	Basket; Multi-array	4	60 s	4 min	8 Fr
Iberis	Unipolar RF	Flexible tip; Single	1	n.a.	n.a.	6 Fr
Paradise/Radiance	Ultrasound	Balloon; Circumferential	1	30 s	3 min	7 Fr 6 Fr
Symplicity Spyral	Unipolar RF	Helical; Multi-array	4	60 s	2 min	6 Fr
Vessix Reduce	Bipolar RF	Balloon; Multi-array	8	30 s	2 min	8 Fr

559 **Key:** Fr, French; min, minutes; RF, radiofrequency; s, seconds; n.a., not available.

560

561 *EnligHTN (St. Jude Medical)*

562 The EnligHTN renal denervation system has a multi-electrode ablation catheter characterised by a
 563 non-occlusive basket design that permits simultaneous ablations. The catheter is provided with a
 564 deflectable atraumatic tip. Once the basket is expanded, it causes the electrodes to make contact
 565 with the artery walls. The basket is collapsed for removal or repositioned for additional ablations¹¹.
 566 Dedicated equipment and tools necessary for the renal denervation procedure are presented in
 567 Table 5.

568

569 **Table 5:** Dedicated equipment/tools needed for a renal denervation procedure performed with EnligHTN Multi-electrode
 570 Renal Denervation System (St. Jude Medical).

Item	Description	Use
Generator	To perform ablation catheter activation according to specific algorithm	Re-usable
Guiding catheter	To allow the ablation catheter to reach the site of treatment	Single use
Ablation catheter	To perform localised ablation in the renal artery wall	Single use
Grounding patches	To connect the electric circuit with the ground	Single use
Electrophysiology cable	To connect the ablation catheter with the adapter cable	Single use
Adapter cable	To connect the electrophysiology cable with the generator	Re-usable

571 **Source:** Manufacturer.

572 *Iberis (Terumo)*

573 The Iberis ablation catheter consists of a single electrode mounted on a flexible tip. The thin
574 diameter allows access from the radial artery as well. Several localised ablations are repeated to
575 complete the procedure¹¹. Dedicated equipment and tools necessary for the renal denervation
576 procedure are presented in Table 6.

577

578 **Table 6:** Dedicated equipment/tools needed for a renal denervation procedure performed with Iberis Renal Denervation
579 System (Terumo).

Item	Description	Use
Generator	To perform ablation catheter activation according to specific algorithm	Re-usable
Guiding catheter	To allow the ablation catheter to reach the site of treatment	Single use
Ablation catheter	To perform localised ablation in the renal artery wall	Single use
Foot pedal	To control the generator by a foot switch	Re-usable
Grounding patch	To connect the electric circuit with the ground	Single use

580 **Source:** *Instructions for use document.*

581

582 *Paradise and Radiance (ReCor Medical)*

583 The Paradise and Radiance ultrasound balloon catheters deliver ultrasound energy to the wall of
584 the renal artery while simultaneously cooling the endothelium. The control unit inflates the sterile
585 water and contrast-filled balloon positioning the transducer in the centre of the artery¹¹. The two
586 catheters mainly differ by the diameters, being Radiance specific for radial artery access.
587 Dedicated equipment and tools necessary for the renal denervation procedure are presented in
588 Table 7.

589

590 **Table 7:** Dedicated equipment/tools needed for a renal denervation procedure performed with Paradise Ultrasound
591 Denervation System (ReCor Medical).

Item	Description	Use
Generator	To perform ablation catheter activation according to specific algorithm	Re-usable
Cartridge	To manage the balloon inflation	Single use
Ablation catheter	To perform localised ablation in the renal artery wall	Single use
Connection cable	To connect the cartridge to the generator	Single use

592 **Source:** *Instructions for use document.*

593

594

595 *Symplicity Spyral (Medtronic)*

596 The Symplicity Spyral ablation catheter consists of a multi-electrode array mounted on a nitinol
 597 shaft in a helical configuration. The catheter is delivered using a monorail system that straightens
 598 the helical configuration: once the wire is removed, the catheter becomes helical and conforms to
 599 the arterial surface¹¹. Dedicated equipment and tools necessary for the renal denervation
 600 procedure are presented in Table 8.

601 **Table 8:** Dedicated equipment/tools needed for a renal denervation procedure performed with Symplicity Renal
 602 Denervation System (Medtronic).

Item	Description	Use
Generator	To perform ablation catheter activation according to specific algorithm	Re-usable
Guiding catheter	To allow the ablation catheter to reach the site of treatment	Single use
Ablation catheter	To perform localised ablation in the renal artery wall	Single use
Grounding pad	To connect the electric circuit with the ground	Single use
Guiding wire	To facilitate catheter placement during peripheral intravascular procedures	Single use
Foot pedal	To control the generator by a foot switch	Re-usable
Generator cart	To host the generator unit	Re-usable

603 **Source:** *Manufacturer.*

604

605 *Vessix Reduce (Boston Scientific)*

606 The Vessix Reduce ablation catheter consists of a balloon-based multi-electrode array. The
 607 electrodes are made of gold and mounted on the balloon's surface: once the balloon is expanded,
 608 the electrode come in contact to the artery wall. Several balloon sizes are available to allow
 609 treatment of both main and accessory renal arteries¹¹. Dedicated equipment and tools necessary
 610 for the renal denervation procedure are presented in Table 9.

611 **Table 9:** Dedicated equipment/tools needed for a renal denervation procedure performed with Vessix Renal Denervation
 612 System (Boston Scientific).

Item	Description	Use
Generator	To perform ablation catheter activation according to specific algorithm	Re-usable
Guiding sheath	To allow the ablation catheter to reach the site of treatment	Single use
Ablation catheter	To perform localised ablation in the renal artery wall	Single use
Diagnostic catheter	To perform aortography and renal artery angiography	Single use
Guiding wire	To facilitate catheter placement during peripheral intravascular procedures	Single use

613 **Source:** *Manufacturer.*

614

615 **Conclusions**

616 Percutaneous renal artery denervation consists in the bilateral destruction of the renal nerves
617 travelling along the renal artery wall by increasing local temperature using ablation catheters based
618 on RF or ultrasounds. The procedure is typically performed under fluoroscopic guidance, in a
619 catheterisation laboratory, by professionals trained in endovascular procedures (e.g., interventional
620 cardiologists and interventional radiologists), using local anaesthesia, conscious sedation and
621 anticoagulation. Renal arteries are accessed by the femoral or radial artery, using standard
622 percutaneous endovascular access manoeuvres. Procedure time varies with the system being used,
623 according to the number of ablations necessary and the time to generate the lesions.

624 Since 2009, when the first patients were treated, the manufacturers developed renal denervation
625 systems following different concepts. Medtronic pioneered the field with its Symplicity renal
626 denervation system. As of today, five manufacturers are present on the Italian market: Boston
627 Scientific, Medtronic, ReCor Medical, St. Jude Medical, and Terumo. Four renal denervation systems
628 perform RF ablation while one uses ultrasound energy. Among the RF-based systems, one has
629 bipolar electrodes and does not require the patient to be connected to the ground. Ablation
630 catheters play a key role in the procedure and several designs have been proposed (non-occlusive
631 basket, flexible tip, helical stent, and balloon). Other than the standard equipment and tools
632 necessary for an endovascular procedure with percutaneous femoral/radial artery access, additional
633 devices necessary for a renal denervation procedure are those related to the specific renal
634 denervation system being used.

635

636

637 4. Regulatory aspects

638

639 Methods

640 The AEs of this domain were:

Assessment Element ID	Research question
A0020	What is the marketing authorisation status of the technology?
A0021	What is the reimbursement status of the technology across countries?
I0016	Does the technology need to be listed in a national/EU database?

641

642

643 All the AEs selected within the domain were developed. The regulatory status of the identified
644 devices (CE marking and FDA approvals) was described by using information gathered by a
645 structured questionnaire sent to the manufacturers (as described in Appendix 4) supplemented by
646 *ad hoc* internet searches on regulatory bodies' websites and databases, and manufacturers' press
647 releases.

648

649 Results

650 **Approval**

651 EnlighTN, St. Jude Medical's renal denervation system received the CE mark in 2011 and has been
652 on the Italian market since 2012. The catheter has not been changed from its introduction but a
653 new generator allowing simultaneous ablation with lower temperature has been developed. In the
654 USA the EnlighTN denervation system is limited to investigational use and not available for sale. At
655 the time of our survey (April 2016) the manufacturer stated that an investigational device
656 exemption (IDE) study would start at the end of 2016, involving hospitals worldwide (Appendix 4)
657 **(A0020)**.

658 Iberis is Terumo's renal denervation system and received the CE mark in 2013¹² (on the Italian
659 market since 2013). In the USA, the Iberis renal denervation system is limited to investigational
660 use and not available for sale (details on the FDA approval status were not found and no
661 information has been provided by the manufacturer) **(A0020)**.

662 Paradise is ReCor Medical's renal denervation system provided with two specific catheters for
663 femoral and radial access, Paradise and Radiance. The two catheters received the CE mark in 2012
664 and 2013, respectively, and are on the Italian market since 2013 (Paradise) and 2014 (Radiance).
665 In the USA, Paradise and Radiance are limited to investigational use and not available for sale; in

666 April 2016 the manufacturer announced the enrolment of the first subjects in the FDA IDE-
 667 approved clinical trial¹³ **(A0020)**.

668 Symplicity Spyral is Medtronic's latest generation renal denervation system. It received the CE mark
 669 in 2013 and has been on the Italian market since 2014. A previous system, Symplicity Flex, was
 670 available to the Italian market from 2010 (CE marked in 2008). The new system mainly differs for a
 671 multi-electrode design, reduced ablation time, and catheter diameter (Appendix 4). In the USA, the
 672 Symplicity Spyral renal denervation system is limited to investigational use and not available for
 673 sale; in April 2015, the manufacturer announced that its global Spyral HTN programme received
 674 IDE approval by the FDA¹⁴ **(A0020)**.

675 Vessix Reduce is the Boston Scientific's latest generation renal denervation system. It received the
 676 CE mark in 2014. A previous generation catheter, Vessix (Vessix Vascular), has been available on
 677 the Italian market since 2013 (Vessix Vascular was acquired by Boston Scientific in 2012). The two
 678 generations mainly differ for the catheter guide (the newest has a smaller size). In the USA, the
 679 Vessix renal denervation system is limited to investigational use and not available for sale. The
 680 manufacturer stated that in December 2014, FDA gave authorisation for the REINFORCE study
 681 (NCT02392351). The first patient was enrolled in April 2015. At time of writing (April 2016), in the
 682 USA there are 15 active sites in the REINFORCE study (Appendix 4) **(A0020)**.

683

684 **Table 10:** Approval details of the renal denervation catheters currently available on the Italian market.

Renal denervation catheters	CE mark	Indications	Contraindications
EnligHTN	2011	<i>Indicated for use in renal denervation procedures for the treatment of resistant hypertension</i>	<ul style="list-style-type: none"> - Patients with an active systemic infection. - Patients with renal artery stenosis greater than 30%. - Patients with prior renal angioplasty, indwelling renal stents and/or aortic stent grafts. - Patients with blood clotting abnormalities.
Iberis	2013	<i>Indicated for use in renal denervation procedures for the treatment of resistant hypertension</i>	<ul style="list-style-type: none"> - Patients with an evident tendency to bleeding and blood disorders such as thrombocytopenia, severe anaemia, etc. - Chronic renal dysfunction. - eGFR <45 mL/min/1.73 m² (MDRD equation). - Type I diabetes mellitus. - Anatomically or haemodynamically significant renal artery abnormalities or previous surgery. - Renal artery diameter <4 mm. - Tortuosity of the renal arteries. - Aneurysm of the renal artery. - Patients under 18 years of age. - Pregnancy.
Paradise	2012	<i>Indicated for percutaneous renal denervation.</i>	Paradise:
Radiance	2013		<ul style="list-style-type: none"> - Renal arteries diameter < 4 mm and > 8mm. - Renal artery stenosis. - Iliac/femoral artery stenosis precluding insertion

			<p>of the Paradise Catheter.</p> <ul style="list-style-type: none"> - Less than 18 years of age. - Pregnant. <p>Radiance:</p> <ul style="list-style-type: none"> - Renal arteries diameter < 4 mm and > 8mm. - Renal artery stenosis. - Radial and brachial artery stenosis precluding insertion of Radiance Catheter. - Radial artery with AV fistula. - Radial artery with no pulse. - Patient with renal artery not reachable by a 125 cm guide catheter working length via transradial access. - Less than 18 years of age. - Pregnant.
Symplicity Spyral	2013	<p><i>Intended to deliver low-level radio-frequency energy through the wall of the renal artery to denervate the human kidney; Treatment of uncontrolled hypertension.</i></p>	<ul style="list-style-type: none"> - The catheter has not been evaluated in patients who are pregnant, nursing, plan to become pregnant, and in patients with Type I diabetes mellitus, prior renal angioplasty, indwelling renal stent, aortic grafts, or abnormal renal anatomy. - Avoid use of the catheter in individuals in whom a reduction in blood pressure would be considered hazardous (such as those with hemodynamically significant valvular heart disease). - Implantable pacemakers and implantable cardioverter/defibrillators (ICDs) may be adversely affected by RF ablation. Consider deactivating ICDs during ablation, having temporary external sources of pacing and defibrillation available during ablation, and performing a complete analysis of the implanted device's function after ablation. - Avoid treating in arteries with a diameter less than 3 mm or greater than 8 mm. - Avoid treating in arteries with significant disease or with flow-limiting obstructions.
Vessix Reduce	2014	<p><i>Indicated for use in percutaneous renal denervation procedures for the treatment of resistant hypertension</i></p>	<ul style="list-style-type: none"> - Not indicated for use in arteries other than the renal one. - Not indicated for use in renal arteries in which a stent has been implanted or presenting calcifications.

685 **Source:** Catheters' instructions for use documents and technical specifications sheets. Devices are listed in alphabetical
686 order by device name.
687

688 **Reimbursement**

689 Generic codes are used across the European countries to reimburse percutaneous renal
690 denervation (no specific procedure codes have been issued yet). In Italy, the percutaneous renal
691 denervation procedure is reimbursed by using the DRG code 120 – "Other circulatory system or
692 procedures". The maximum national fee linked to this DRG code is EUR 6,876¹⁵ (**A0021**).

693 Like all the medical devices for sale to Italian public hospitals, renal denervation systems must be
694 registered within the Italian National Medical Devices Inventory and Database (Banca Dati e
695 Repertorio Dispositivi Medici – BD/RDM) (**I0016**).

696 **Conclusions**

697 The renal denervation systems available on the Italian market received the CE mark between 2011
698 and 2014. None of them have received FDA approval at time of writing (May 2016). Studies are
699 ongoing for some of the systems. In Italy, as there is not specific reimbursement for medical
700 devices, renal denervation is reimbursed through the tariff for "other" interventions on the
701 circulatory system.

702 5. Clinical effectiveness and safety

703

704 Methods

705 The AEs of “Clinical effectiveness” domain were:

Assessment Element ID	Research question
D0001	What is the effect of the intervention on all cause mortality?
D0002	What is the effect on the disease-specific mortality?
D0005	D0005a: How does the technology affect symptom frequency of the target condition? D0005b: How does the technology affect symptom severity of the target condition? D0005c: How does the technology affect symptom duration of the target condition?
D0006	D0006a: How does the technology affect the progression of the target condition? D0006b: How does the technology affect the recurrence of the target condition?

706

707 The AEs of “Safety” domain were:

Assessment Element ID	Research question
C0001	What harms are associated with the use of the technology?
C0002	Are the harms related to the exposure to the technology?
C0060	How does the safety profile of the technology vary between different generations, approved versions or products?
C0061	Can different organizational settings increase or decrease harms?

708

709

710

711 Some of the AEs selected within the two domains were developed according to available evidence.

712 Electronic searches were performed between 20-25 April 2016 on MEDLINE, Embase, and

713 Cochrane Library, according to the search strategy presented in Appendix 5. The PICO framework

714 and inclusion criteria defined for the present HTA report are presented in Table 11.

715

716 *Data extraction and management*

717 Two authors independently assessed titles and abstracts of all retrieved citations according to the

718 defined inclusion criteria. Data extraction was performed using a standardised sheet developed by

719 the authors.

720

721 *Statistical analyses*

722 A meta-analysis of continuous outcome measurements (in mmHg) used to assess the effects of
 723 treatment expressed as mean difference (MD) and standard deviation (SD) was performed. Where
 724 standard deviations were not available, confidence intervals for means were used to obtain
 725 standard deviation values. The standard deviation for each group was obtained by dividing the
 726 length of the confidence interval by 3.92 (where the 95% confidence interval is 3.92 standard
 727 errors wide; $3.92 = 2 \times 1.96$), and then multiplying by the square root of the sample size
 728 (Cochrane Handbook 7.7.3.2)¹⁶. For potential harms, dichotomous outcomes results were
 729 expressed as odds ratios (ORs) with 95% confidence intervals (CIs). Analyses were performed
 730 according to an intention-to-treat principle. For missing data, trial authors were contacted.
 731 Heterogeneity was evaluated using a Chi² test with N-1 degrees of freedom, with an alpha of 0.10
 732 used for statistical significance and with the I² test¹⁶. Source of heterogeneity were sought by
 733 assessing the participants, the intervention, the comparison group, and the outcomes and by
 734 visually assessing the forest plots. Review Manager (Revman 5.3) was used for data synthesis.
 735 Data were pooled using both the random-effects model and the fixed-effect model to ensure
 736 robustness of analyses. No subgroup analyses were performed because of lack of reported data
 737 but stratification by BP measurement settings. A table of findings presenting results coming from
 738 selected studies was created.

739

740 *Assessment of methodological quality of included studies*

741 Methodological quality of included studies was assessed independently by two authors by using the
 742 Cochrane risk of bias tool¹⁶.

743

744 **Table 11:** PICO framework and inclusion criteria defined for the present HTA report "Medical devices for treatment-
 745 resistant hypertension".

PICO	
Population	People aged 18-75 with office or continuous monitoring hypertension (>140/90 mm Hg) treated with OMT (three or more agents one of which must be a diuretic) and lifestyle changes.
Intervention	Transcatheter renal artery denervation.
Comparison(s)	Optimal medical therapy (OMT).
Outcome(s)	Effectiveness outcomes: <i>Change in average measurements of systolic and/or diastolic blood pressure; All causes mortality; Cardiac mortality; Major cardiovascular events (myocardial infarction, heart failure, stroke, etc.).</i> Adverse events: <i>Acute procedural safety; Chronic procedural safety (kidney failure, renal artery stenosis, etc).</i>
Design of study	HTA reports, systematic reviews, and randomised controlled trials (RCTs).

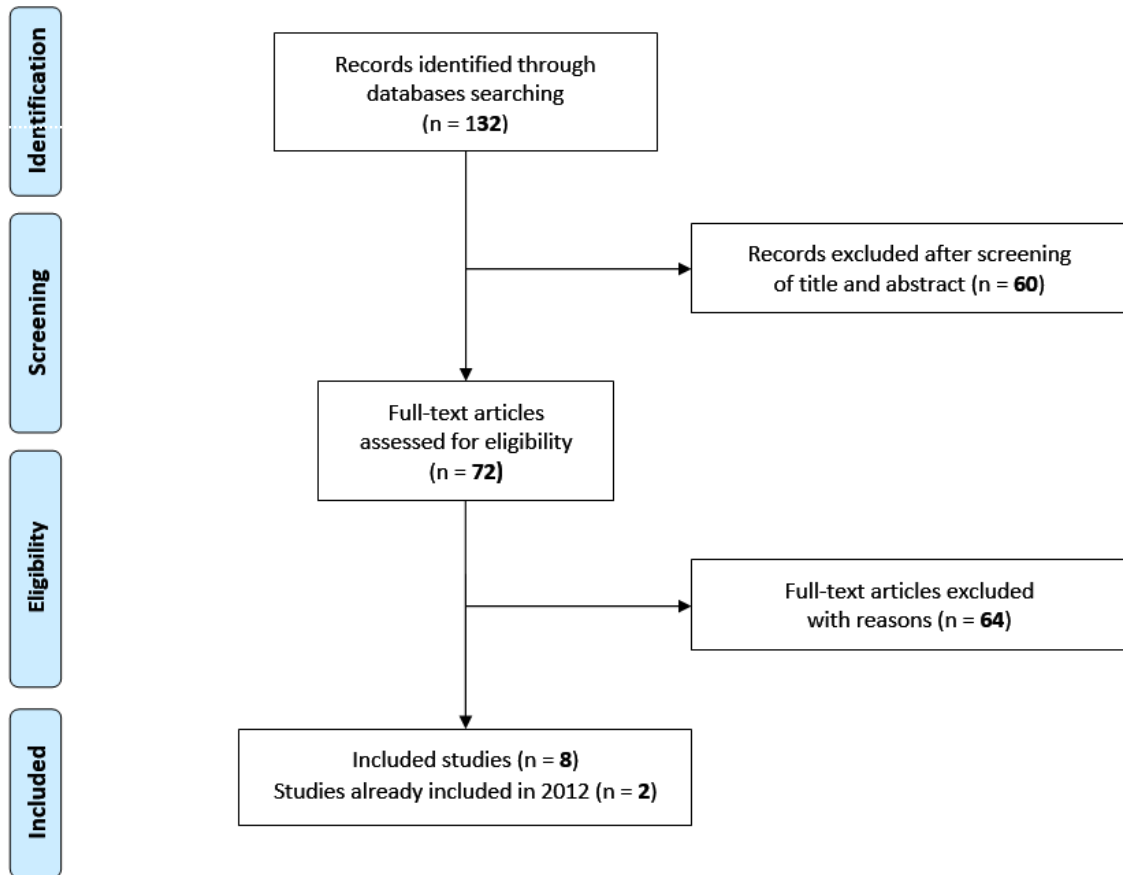
746 **Results**

747 Our searches identified 132 studies of possible interest. Of these, 60 were excluded on the basis of
748 their title and/or abstract content. A further 44 were excluded because they were reports of
749 registries (n = 5), research synthesis reports (n = 30) with search dates preceding our own, and
750 protocols of studies (n = 9). A further 28 studies were assessed. Of these, 2 were not retrievable¹⁷,
751 one had been conducted in people with heart failure¹⁸, one was an abstract of a methodological
752 study¹⁹, one was an editorial^{20 21}, three were non-randomised studies²²⁻²⁴, five had interventions or
753 populations not fitting our inclusion criteria²⁵⁻²⁹, one was an economic model³⁰.

754 Of the remainder, three studies were part of the SYMPLICITY HTN-2 trial publication group: Esler
755 et al. 2010³¹ is the primary publication while Esler et al. 2012³² and Esler et al. 2014³³ report
756 follow-up data at 12 and 36 months respectively.

757 The SYMPLICITY HTN-3 trial publication group consisted of Bhatt et al. 2014³⁴ which we considered
758 the primary publication, Bakris et al. 2015³⁵ and Bhatt et al. 2015³⁶ reporting results at 12 and 24
759 months respectively. However other studies in this group, Bhatt et al. 2014 (Journal of Vascular
760 Surgery)³⁷, Bakris et al. 2014³⁴, and Kandzari et al. 2015³⁸ reported further analyses of SYMPLICITY
761 HTN-3 trial to explore the reasons of some of its findings and Kario et al. 2015b³⁹ reported an
762 analysis of the Japanese arm of SYMPLICITY HTN-3 trial but did not report any new data. In
763 summary, we included 8 new studies and 2 studies already included in the 2012 review: Esler et al.
764 2012⁴⁰ and Esler et al. 2014³³ (Esler et al. 2010³¹ reporting the SYMPLICITY HTN-2 NCT00888433
765 trial, had already been included in the previous version of the review together with Mahfoud et al.
766 2011⁴¹), Azizi et al.⁴² reporting the DENER-HTN trial (NCT01570777), Bhatt et al.³⁶ reporting the
767 SYMPLICITY HTN-3 trial (NCT01418261), with Bakris et al. 2015³⁵ and Bhatt et al. 2015³⁶ reporting
768 original follow-up data, Desch et al. 2014⁴³ (NCT01656096) and Fadl Elmula et al. 2014⁴⁴
769 (NCT01673516) (see flow chart Figure 3 and Table 12 for characteristics of the studies).

770 **Figure 3:** Study screening process for effectiveness and safety of transcatheter renal artery denervation according to
771 PRISMA. Adapted from: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items
772 for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097.



773

774

775 **Table 12:** Characteristics of included studies (studies included in the 2012 review are marked with *).

Study	Year	Objective	Study design	Participants		Outcomes		Follow up	Funding
				Intervention group/ Device	Control group/ Control intervention	Primary	Secondary		
*Esler et al. [SYMPPLICITY HTN-2 trial] NCT00888433	2010	To assess effectiveness and safety of catheter-based renal denervation for reduction of blood pressure in patients with treatment-resistant hypertension	RCT	<p>Number: 52 Age: 58 (SD 12) Antihypertensive drugs: 5.2 (1.5)</p> <p>SBP/DBP: 178(SD18)/97(SD16)</p> <p>At 36 months in 40/52 there was a difference of SBP/DBP -33 mmHg (95% CI: -40 to -25) and -14 mmHg (95% CI: -17 to -10 respectively)</p> <p>Simplicity</p>	<p>Number: 54 Age: 58 (SD 12) Antihypertensive drugs: 5.3 (1.8)</p> <p>SBP/DBP: 178(SD16)/98(SD17)</p> <p>At 30 months no control data were reported because all 30 subjects for whom data were available had crossed over to RD at 6 months OMT</p>	Between-group change in average office-based measurements of SBP from baseline to 6 months	(a) acute procedural safety (b) chronic procedural safety (c) a composite cardiovascular endpoint (d) additional measurements of BP reduction at 6 months consisting of occurrence of 10 mmHg or more systolic response (e) achievement of target SBP (f) change in 24-h ambulatory BP (g) change in home-based BP measurements	6 months and 12 and 36 months reported in Esler 2012 and 2014 respectively	Medtronic Inc
*Mahfoud et al. SIMPLICITY HTN-1	2011	To investigate the effect of catheter-based renal sympathetic denervation on glucose metabolism and blood pressure control in patients with resistant hypertension	CCT	<p>Number: 37 Age: 58.7 (±1.6) Antihypertensive drugs: 5.8 (±0.2)</p> <p>SBP/DBP: 177(±3)/96(±6)</p>	<p>Number: 13 Age: 62.5 (±2.9) Antihypertensive drugs: 5.0 (±0.4)</p> <p>SBP/DBP: 184(±6)/94(±4)</p>	Change in systolic and diastolic office blood pressures (SEM) at 1 and 3 months	(a) Change in fasting glucose at 3 months (b) Change in fasting insulin (c) Change in C-peptide (d) Change in homeostasis model assessment–insulin resistance (HOMA-IR) at 1 and 3 months compared with baseline (e) mean 2-hour glucose levels during oral glucose tolerance test	3 months	For profit agency
Azizi et al. [DENERHTN trial] [NCT 01570777]	2015	To assess the effects of adding radiofrequency-based renal denervation to a standardised stepped-care antihypertensive	RCT	<p>Number: 53 (ITT) Age: 55.2• (±10.8) Antihypertensive drugs: Indapamide, ramipril and amlodipine taken by at least 80% of</p>	<p>Number: 53 (ITT) Age: 55.2• (±10.1) Antihypertensive drugs: Indapamide, ramipril and amlodipine taken by at least 80% of</p>	Mean change in daytime ambulatory SBP from baseline to 6 months	(a) Mean changes in all other BP variables from baseline to 6 months in ambulatory, home, and office settings (b) Proportion of patients with controlled BP at 6 months	6 months	Government

		treatment (SSAHT) on ambulatory blood pressure in patients with resistant hypertension		<p>participants. All at least on triple therapy</p> <p>SBP/DBP: 159.3 (± 22.7)/93.3 (± 16)</p>	<p>participants. All at least on triple therapy</p> <p>SBP/DBP: 155.9 (± 21.9)/91.4 (± 13.8)</p>		<p>(<135/85 mmHg by daytime, <120/70 mmHg by night-time, and <130/80 mmHg by 24-h ABPM)</p> <p>(c) adherence to antihypertensive medication by three categories according to the MMAS-8 score at 6 months</p> <p>(d) mean changes in eGFR from baseline to 6 months</p> <p>(e) incidence of acute adverse events of the procedure</p> <p>(f) incidence of all adverse events from baseline to 6 months</p>		
Bhatt et al. [SYMPPLICITY HTN-3 trial] NCT01418261	2014	To assess the effect of renal denervation or a sham procedure on Ambulatory BP measurements 6 months post-randomization compared with OMT	RCT	<p>Number: 364 (ITT)</p> <p>Age: 57.9 (± 10.4)</p> <p>Antihypertensive drugs: All participants on OMT including 99.7% on diuretic</p> <p>SBP/DBP 179 (± 16.1)/96.5 (± 16.6)</p> <p>Office SBP: -14.13 (± 23.93)</p> <p>Simplicity</p>	<p>Number: 171 (ITT)</p> <p>Age: • 56.2 (± 11.2)</p> <p>Antihypertensive drugs: All participants on OMT including 100% on diuretic</p> <p>SBP/DBP 180.2 (± 16.8)/98.9 (± 15.8)</p> <p>Office SBP: - 11.74 (± 25.94)</p> <p>Sham procedure</p>	Change in office SBP at 6 months	Change in ambulatory BP at 6 months	at 12 months there was no difference, and 24 months data are not yet available (see note) Bakris 2015 ³⁵ and Bhatt 2015 ³⁶	Medtronic
Desch et al. [NCT NCT01656096]	2015	To test the hypothesis that RSD is superior to a sham intervention in patients with only mild resistant arterial hypertension. This was a consequence of the SIMPLICITY trial negative findings, when one explanation could be the severity of hypertension in the SIMPLICITY participants	RCT	<p>Denominator: 35</p> <p>Age: 64.5 (± 7.6)</p> <p>Mean number of OMT medications 4.4 (± 1.3)</p> <p>SBP/DBP 144.4 (± 4.8)/80.6 (± 7.8)</p> <p>SBP: -7.0 (-10.8) to -3.2)</p> <p>DBP: -2.8 (-4.8 to -</p>	<p>Denominator: 36</p> <p>Age: 57.4 (± 8.6)</p> <p>Mean number of OMT medications: 4.3 (± 1.3)</p> <p>SBP/DBP 143.0 (± 4.7)/82.9 (± 7.3)</p> <p>SBP: - 3.5 (-6.7 to -0.2)</p> <p>DBP: 2.1 (-39 to -0.2)</p> <p>Sham procedure</p>	Change in 24-hour systolic BP at 6 months between groups in the ITT population	NR	6 months	University of Leipzig, Heart Center

				0.09)					
				Symplivity Flex Catheter (Medtronic)					
Fadl Elmula et al. NCT01673516	2014	To investigate the BP-lowering effect of RDN compared with clinically adjusted drug therapy in patients with true treatment resistant hypertension (i.e. high pharmacological compliance)	RCT	Denominator: 10 Age: 57 (± 10.9) Office baseline: SBP/DBP 156 (12.6)/91 (14.9) Office SBP: -8 (± 15) mmHg at 6 months DBP change is not reported clearly but appears to be -2 mmHg Simplicity	Denominator: 9 Age: 62.7 (± 5.1) Office baseline: SBP/DBP 160 (12)/89 (12.7) Basic DBP 89 (± 12.7) Office SBP - 28 (± 13) mm Hg at 6 months DBP change is not reported clearly but appears to be -11 mmHg Adjusted OMT	Change in office SBP	NR	Trial stopped at 6 months for dominance of control intervention	Oslo University

776 **Key:** RCT = Randomised Clinical Trial; CCT = Controlled Clinical Trial; SD = standard deviation; SBP = Systolic Blood Pressure; DBP = Diastolic Blood Pressure; ITT = Intention to
777 Treat population; OMT = Optimal Medical Therapy; RSD = Renal sympathetic denervation.

778 **Note on SYMPLICITY HTN-2 trial publication group:** Esler 2010 is the primary publication. Esler 2012 and 2014 report follow-up data at 12 and 36 months respectively.

779 **Note on SYMPLICITY HTN-3 trial publication group:** Bhatt et al 2014 (NEJM) is considered the primary publication of the SYMPLICITY HTN-3 trial. Bakris 2015 and Bhatt
780 2015 reported results at 12 and 24 months (expected) respectively and Bhatt 2015(a) reported further BP analyses results. Bhatt et al 2014 (Journal of Vascular Surgery) did not
781 provide additional original data. Kandzari 2015 is a further analyses of SYMPLICITY HTN-3 trial to explain some of its findings - no new data reported. Kario 2015b reports an
782 analysis of the Japanese arm of SYMPLICITY HTN-3 trial but no new data are reported.

783

784 **Assessment of methodological quality of included studies**

785 The included studies were assessed using the Cochrane risk of bias tool. Figure 4 reports the
786 assessments by risk of bias domain and by trial.

- 787 ▪ *Allocation concealment:* Of the 6 included trials, four used central randomisation and were
788 judged to be at low risk of selection bias^{34 42-44}, one randomised trial did not describe the
789 method used to conceal allocation and was judged to be at unclear risk of bias³¹. The
790 remaining study was a controlled trial with no randomisation and was then judged at high
791 risk of selection bias⁴¹.
- 792 ▪ *Blinding of participants and personnel:* All studies were deemed at high risk of performance
793 bias due to the nature of the intervention. However, two trials used sham control to mask
794 participants in the control group. In one trial investigators attempted masking participants
795 by administering saline infusion to patients to simulate administration of intravenous pain
796 medication and invasive examination⁴³ whereas in the second trial patients in the sham-
797 procedure group remained on the catheterization laboratory table for at least 20 minutes
798 prior to removal of the introducer sheath³⁴.
- 799 ▪ *Blinding of outcome assessor:* Three trials reported blinding the outcome assessor and were
800 considered at low risk of detection bias^{34 42 43}. Two trials did not describe the blinding of the
801 outcome assessors^{41 44} whereas the remaining trial did not perform any blinding and was
802 this deemed to be at high risk of detection bias³¹.
- 803 ▪ *Incomplete outcome data:* Four trials were rated at low risk of attrition bias^{31 34 43 44}; one
804 trial was deemed at high risk of attrition because while no missing data or loss to follow-up
805 occurred in the control group, 17% in the experimental group were not included in analysis
806 either because they did not receive RD (n = 7) or had missing data (n = 2)⁴². One trial
807 reported the same mean ages of participants by arm⁴².
- 808 ▪ *Selective outcome reporting:* one study⁴⁴ did not explicitly report the outcome mortality and
809 was rated as unclear risk of bias.

810

811

Figure 4: Risk of bias summary: review authors' judgements about each risk of bias item for each included study.

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)
Azizi 2015	+	+	-	+	-	+
Bhatt 2014	+	+	-	+	+	+
Desch 2015	+	+	-	+	+	?
Esler 2010	?	?	-	-	+	+
Fadl 2014	+	+	-	?	+	?
Mahfoud 2011	-	-	-	?	?	+

812

813

814

815 ***Effectiveness outcomes***

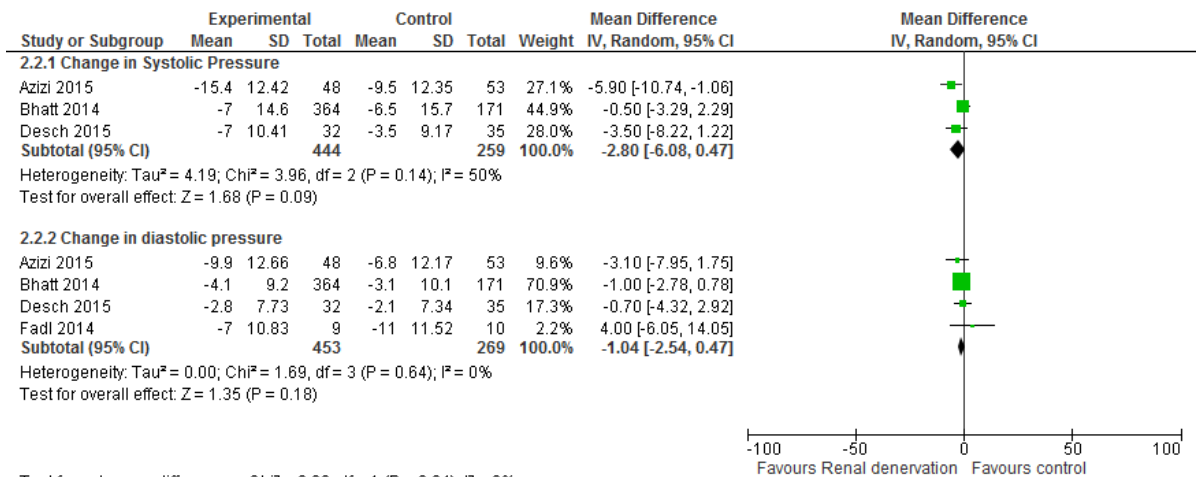
816 ***Change in average measurements of systolic and/or diastolic blood pressure (D0005)***
 817 ***(D0006)***

818 ▪ *Ambulatory setting (Figure 5):* Four trials comprising 722 participants provided data on
 819 change in an ambulatory setting at 6 months follow-up^{34 42-44}. Pooling the data of the
 820 studies that uniformly used standard criteria (systolic BP >160 mmHg) to define resistant
 821 hypertension, the results showed that patients that received RD did not experience any
 822 reduction in systolic [WMD -2.80 [95% CI -6.08 to 0.47]; I² 50%, P = 0.14] and diastolic
 823 pressure [WMD -1.04 [95% CI -2.54 to 0.47]; I² 0%, P = 0.64] when compared to the
 824 control group. The trial by Fadl Elmula et al.⁴⁴ was excluded from the meta-analysis of
 825 systolic changes because it used the European Society of Hypertension guidelines definition
 826 for resistant hypertension (systolic BP >140 mmHg). However, the results did not show any
 827 effect (WMD 9.00 95% CI -4.67 to 22.67).

828

829

Figure 5: Change in ambulatory blood pressure values (in mmHg) at 6 month follow up.



830

831

832

833

- Office setting (Figure 6): Five trials evaluated the change in blood pressure values in an office setting. Two of these trials reported data at a 3 months follow-up and results were in favour of RD for both systolic [WMD -21.66 (95% CI -28.85 to -14.48); I² 0%] and diastolic blood pressure values [WMD -9.00 (95% CI [-16.65, to -1.35); I² 0%]^{31 41}. Three trials reported outcomes at 6 months follow-up^{31 34 42}. Results were however extremely heterogeneous (I² = 95% for systolic blood pressure).

834

835

836

837

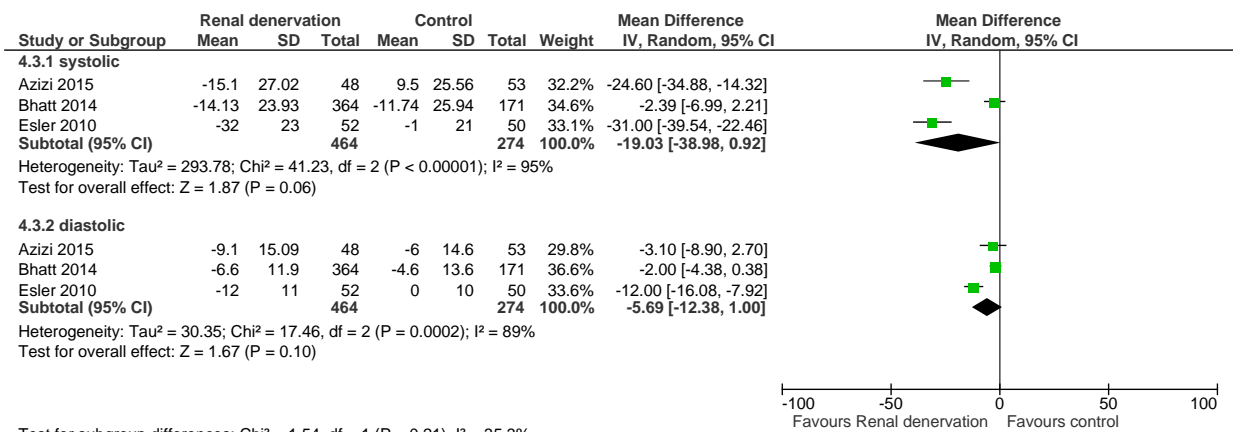
838

839

840

841

Figure 6: Change in office blood pressure values at 6 month follow-up.



842

843

844

845

- Home setting (Figure 7): Only two trials reported blood reduction measurement at 6 months follow-up in a home setting^{34 42}. Pooling the data, the results showed significant

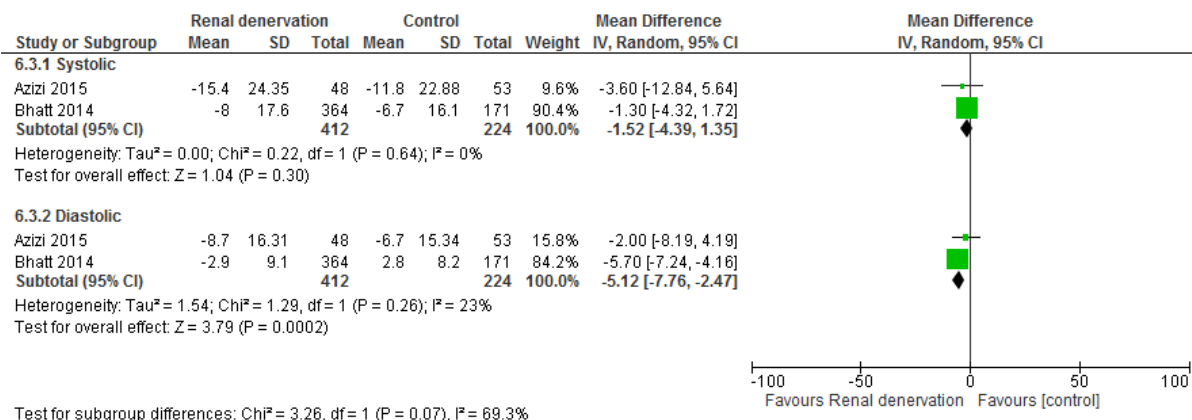
846

847 difference between the two groups in diastolic pressure [WMD -5.12 (95% -7.76 to -2.47);
 848 I² 23%, P = 0.26] favouring renal denervation but not in systolic pressure [WMD -1.52
 849 (95% -4.39 to 1.35); I² 0%, P = 0.64].

850

851

852 **Figure 7:** Change in home setting blood pressure values.



853

854

855

856 **All-cause mortality (D0001)**

857 No death occurred at 3 months follow-up in Mahfoud et al.⁴¹. Bhatt et al.³⁴ reported 3 deaths (2 in
 858 the RD group) at 6 months follow up. The difference was not statistically significant. No death
 859 occurred in three trials at 6 months follow-up^{31 42 43}. In Fadl Elmula et al.⁴⁴ no clear information was
 860 reported regarding mortality.

861

862

863 **Major cardiovascular events (D0005)**

864 Two myocardial infarction events were recorded by Azizi et al.⁴² (one in each group); 9 by Bhatt et
 865 al.³⁴ (6 in the RD group); one occurred in the RD in Fadl Elmula et al.⁴⁴; in Esler et al.³¹ two
 866 patients received coronary stent for angina (one in each group).

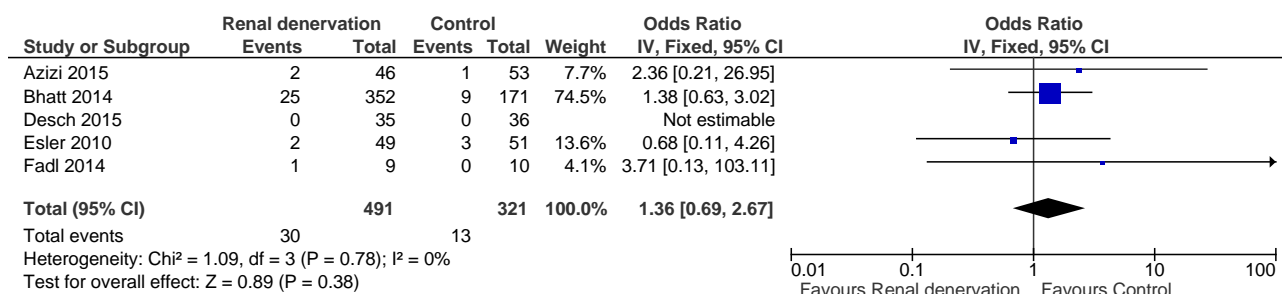
867 Heart failure was reported only by Bhatt et al.³⁴ where 6 events in the RD group and 3 in the
 868 control were recorded.

869 Six strokes were reported by Bhatt et al.³⁴ (of which 5 in the RD group) whereas in Azizi et al.⁴²,
 870 one event was recorded in the RD group. In Bhatt et al.³⁴ an embolic event that resulted in an
 871 organ damage was reported in the RD group. Esler et al.³¹ recorded one transient ischaemic attack
 872 in each group of participants. Six events of atrial fibrillation requiring hospitalization were reported
 873 by Bhatt et al.³⁴ (5 events in the RD group).

874 Desch et al.⁴³ reported that no cardiovascular event occurred. Overall the proportion of major
 875 cardiovascular events was higher in the RD group (6.1%) than in the control group (4.0%) but
 876 with no statistical difference: OR 1.36 (95% CI 0.69 to 2.67 fixed effects model) (Figure 8). No
 877 cardiovascular event occurred in Mahfoud et al.⁴¹ (personal communication).

878
 879

880 **Figure 8:** Major cardiovascular events reported at 6 months follow-up.



881
 882
 883

884 Insufficient data were reported to answer **(D0002)**.

885
 886

887 **Adverse events (C0001)**

888 Although no safety outcome was explicitly reported in Mahfoud et al.⁴¹, 1 patient out of 37 in the
 889 denervation group developed a pseudoaneurysm at the femoral access site that was treated
 890 without further sequelae. No other complications were observed either in the denervation or in the
 891 control group. Azizi et al.⁴² reported three renal denervation-related adverse events: lumbar pain in
 892 two patients and mild groin haematoma in one patient. Bhatt et al.³⁴ reported one vascular
 893 complication requiring treatment and one new renal-artery stenosis of >70% occurring in the RD
 894 group. Esler et al.³¹ reported that a reduction of more than 25% of the glomerular filtration rate
 895 occurred in 2 patients in the experimental and in 3 in the control group. No events of reduction
 896 greater than 50% of the eGFR was observed in any of the groups. Fadl Elmula et al.⁴⁴ reported
 897 that 4 patients had mild-to-moderate hematomas at the femoral access site; one patient had
 898 bradycardia and received atropin injection during the procedure. Desch et al.⁴³ reported no
 899 occurrence of adverse events.

900 Insufficient data were reported to answer the AEs **C0060** and **C0061**.

901
 902

903 ***Forthcoming evidence***

904 Several studies on renal denervation were identified by our searches on Clinicaltrial.gov database
905 (see Appendix 6). Three studies were registered as “active, not recruiting”. One of them, DENER-
906 HTN (NCT01570777) was aimed at comparing renal denervation with optimised medication
907 regimen in 121 subjects; results are awaited within April 2018.

908 Nine studies were registered as “open”. Only three of them are aimed at comparing renal
909 denervation with usual care (standard antihypertensive drug treatment) while the remaining
910 studies are using sham comparators. The INSPIRED study (NCT01505010) aimed to enrol 240
911 subjects and give results by April 2016 but no results have been posted (information on are
912 updated to February 2015) and no studies referring to it have been identified. The study
913 NCT01888315 aims to enrol 1,000 subjects and use four different renal denervation systems;
914 results are expected in 2021. No completion date has been provided for study NCT01850901,
915 aiming to enrol 300 subjects.

916

917

918 **Conclusions**

919 In general, all trials reported negative results regardless of funders apart from the earlier
920 SYMPPLICITY HTN-2 trial. Like the manufacturers, we cannot give reasons for such demonstrable
921 lack of effect, as trials with and without control sham procedures and different levels of
922 pharmacological attention to titration and compliance all reported no evidence of dominance of
923 transcatheter renal denervation. In one case (the small trial by Fadl Elmula et al.⁴⁴) dominance of
924 carefully adjusted and supervised OMT was reported.

925 6. Costs and economic evaluation

926

927 Methods

928 The AEs of this domain were:

Assessment Element ID	Research question
E0001	Can you identify what types of resources are used when delivering the assessed technology and its comparators (resource-use identification)?
E0002	Can you quantify what amounts of resources are used when delivering the assessed technology and its comparators (resource-use measurement)?
E0009	What were the measured and/or estimated unit costs of the resources used by the assessed technology and its comparator(s)?
E0005	What is(are) the measured and/or estimated health-related outcome(s) of the assessed technology and its comparator(s)?
E0006	What are the estimated differences in costs and outcomes between the technology and its comparator(s)?
E0010	What are the uncertainties surrounding the inputs and economic evaluation(s) of the technology and its comparator(s)?
E0012	To what extent can the model estimates of inputs, outcomes, or economic evaluation(s) be considered as providing valid descriptions of the technology and its comparator(s)?
G0007	What are the likely budget impacts of implementing the technologies being compared?

929

930

931

932 All the AEs selected within the domain were developed. We carried out a systematic review to
933 answer cost and economic AEs, updating the systematic review produced by Agenas in 2012⁴⁵.

934 Italian and international scientific literature was searched to identify and analyze the economic
935 implications of using the transcatheter renal artery denervation (RDN) in patients with resistant
936 hypertension despite adherence to an optimal medical therapy (OMT).

937 The electronic bibliographic databases PubMed, Embase, and Cochrane Library were searched (20-
938 25 April 2016) according to the search strategy reported in the Appendix 7. The keywords related
939 to the *Population, Intervention* and *Comparator* reported in the EFF and SAF chapter (Chapter 5)
940 were combined with the following keywords: cost-utility, cost-effectiveness, cost-minimization, cost
941 analysis, economic evaluation, economic analysis, economic aspect, economic assessment, ICER,
942 health care cost, budget impact analysis. We included all types of economic analysis: cost-
943 effectiveness analysis (CEA), cost-utility analysis (CUA), cost-benefit analysis (CBA), cost-
944 consequences analysis (CCA) and cost-minimization analysis (CMA) comparing the use of renal
945 denervation procedure plus optimal medical therapy to the optimal medical therapy alone. Cost
946 analyses which reported insufficient details or full economic evaluations which did not provide an
947 estimate of cost-effectiveness were excluded. One author (MC) screened the title or abstract of

948 studies yielded from literature searches to identify the potential eligible studies. The full text of
949 such studies was analysed to select those to be included in the analysis, according to the inclusion
950 criteria stated above. Evidence references were managed using the software EndNote (X7.2).
951 Economic data from included economic studies were extracted by using an *ad hoc* form. The
952 results were tabulated and described in narrative way. The assessment of the methodological
953 quality was carried out using the checklist for economic evaluations of health programmes⁴⁶.
954 We aimed to develop a decisional analytic model to estimate the costs of the different
955 interventions. However given the lack of efficacy evidence resulting from our systematic review
956 (see Chapter 5) and the findings about the current use of RDN in Italy (which showed a quite
957 small number of estimated cases of RDN per year) we decided not to develop a comparative
958 decisional analytic model but performed a cost analysis of the RDN procedure from the Italian
959 National Health System (NHS) viewpoint. For the same reason we did not estimate the budget
960 impacts of implementing RDN and its comparator (**G0007**). We estimated the cost of the RDN
961 using data from the 2012 Agenas previous systematic review⁴⁵ and data collected from other
962 sources (clinical experts, manufacturers). The estimation of the cost of RDN is based mainly on
963 data provided by manufacturers through a questionnaire that was sent to the 3 manufacturers
964 Agenas met on the 8th of April 2016.
965 Part of the questionnaire collected economic data on specific products. The findings of the
966 economic analysis were investigated comparing its content with results from the clinical
967 effectiveness and safety domains.

968

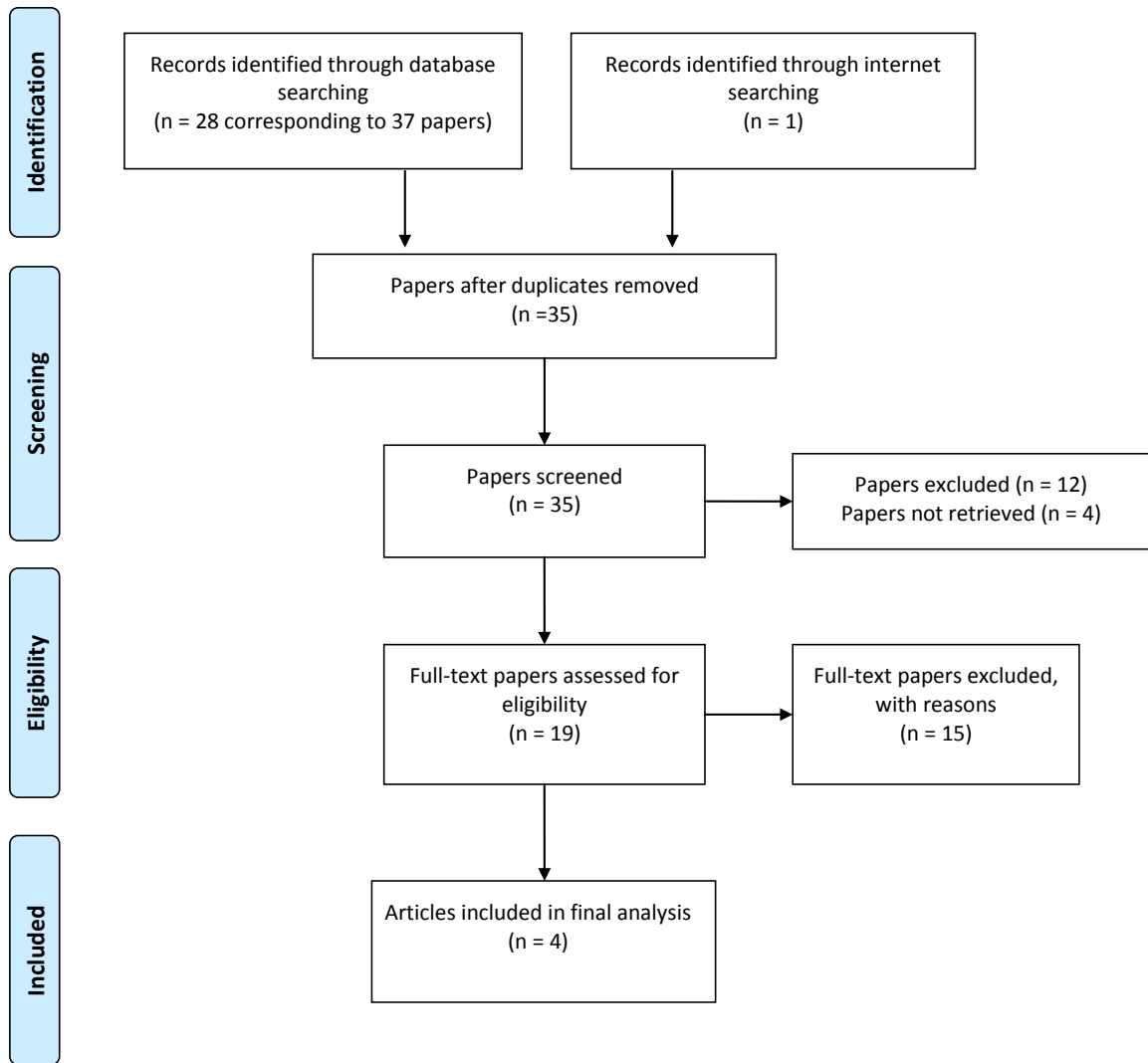
969

970

971 **Results**

972 The systematic searches of electronic databases yielded 29 records corresponding to 37 papers
973 while one more paper was retrieved from a non-systematic free text search. After removing 3
974 duplicates, 35 potentially relevant papers were screened on the basis of the title and abstract (if
975 available). Twenty-three papers were judged to be relevant for the analysis and the full text was
976 retrieved for 19 of them. According to our predefined inclusion criteria, 4 full economic evaluations
977 were included^{47 48 49 50}. The PRISMA flow-chart describing the inclusion process of the economic
978 studies is shown in Figure 9. The included and excluded papers along with the reason for exclusion
979 are reported in Appendix 8 and Appendix 9, respectively.

980 **Figure 9:** Study screening process for economic studies of transcatheter renal denervation according to PRISMA.
 981 Adapted from: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for
 982 Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097.



983

984

985

986 An overview of the 4 evaluations included in our economic review is reported in Table 13. All the
 987 included studies were cost-utility analyses and three of them performed also a cost-effectiveness
 988 analysis. All the studies developed a Markov model to evaluate the cost-effectiveness of the RDN
 989 procedure plus OMT versus OMT alone in resistant hypertensive patients. The definition of
 990 resistant hypertension reported in the included studies was similar, namely a condition where
 991 blood pressure remains elevated and above the goal range in spite of optimal medical therapy with
 992 3 or more antihypertensive agents, including a diuretic, at maximal recommended or tolerated
 993 dose. This goal range was explicitly reported in Gladwell et al.⁴⁷ to be 100-140 and 60-90 mmHg
 994 for Systolic and Diastolic Blood Pressure respectively. Different terms were used to indicate optimal
 995 medical therapy e.g. standard of care (SoC), best medical therapy (BMT); however all terms

996 referred to a pharmacological therapy comprising 3 or more antihypertensive medications. Two of
997 the studies were based on the Markovian model first created by Geisler et al.⁴⁹, adapted to the UK
998 by Gladwell et al.⁴⁷, and to the Dutch setting by Henry et al.⁴⁸ The economic models were
999 designed to follow the entire life of patients using a cycle length of 1 month^{47 49} or of 1 year⁵⁰. One
1000 study considered a shorter time horizon (10 years)⁴⁹. Most of the studies (3/4) adopted the payer
1001 perspective and the last one the societal perspective. Competing interests (COI) were disclosed in
1002 all studies. Two studies reported manufacturer funding.

1003

1004 **Table 13:** Included economic studies – General information.

Study	Country	Objective	Economic analysis and modelling	Time horizon/Perspective	Intervention	Comparator	Funding/COI
Geisler, 2012	USA	<i>To develop a decision-analytic model to predict long-term cardiovascular consequences and to ultimately assess the cost-effectiveness based on long term clinical benefits of RDN compared to SoC alone</i>	CEA/CUA - Markov model (34 health states to represent the disease's progression; cycle length=1 month)	Patient's lifetime (10 years)/Societal perspective	RDN+SoC	SoC	NR/declared
Dorenkamp, 2013	Germany	<i>To determine the benefits, costs and cost-effectiveness of catheter-based RDN for treatment of resistant hypertension (RHyp)</i>	CEA/CUA - Markov model (two arms with a cycle length=1 year and half cycle correction)	Lifetime/Payer (German statutory health and nursing care insurance system)	RDN+BMT	BMT	NR/declared
Gladwell, 2014	UK	<i>To estimate the cost-effectiveness of RDN for patients in the UK with diagnosed resistant hypertension, expressed as a standard cost per QALY ratio</i>	CUA - modified Markov model (34 health states to characterize disease progression; event history-based transition probabilities)*	Patient's lifetime/UK Health payer	RDN+Soc	SoC	Medtronic Ltd./declared
Henry, 2015	The Netherlands	<i>To consider the cost-effectiveness (cost per life-year gained (LYG) and cost per quality-adjusted life gained (QALY)) of RDN therapy for patients with resistant hypertension in the Netherlands compared to SoC</i>	CEA/CUA - Markov model (34 health states to represent the disease's progression; cycle length=1 month)**	Patient's lifetime/Healthcare payer in the Netherlands	RDN+SoC	SoC	Medtronic Ltd./declared

1005 * Based on the model by Geisler et al.⁴⁹

1006 ** Based on the model by Gladwell et al.⁴⁷

1007 **Key:** COI, conflict of interest; RDN, renal denervation; SoC, standard of care; CUA, cost-utility analysis; CEA, cost-effectiveness analysis; NR, not reported; BMT, best medical therapy;
 1008 QALY, quality-adjusted life year.

1009

1010 **Description of the available evidence**

1011 All studies except the one by Dorenkamp et al.⁵⁰ were based on the economic model developed by
1012 Geisler et al.⁴⁹. This is a Markov model comprising 34 health states, with 1-month cycle length, to
1013 represent the progression of the disease throughout patients' lifetime (base-case). A simulated
1014 cohort of hypertensive resistant patients with the same clinical characteristics of the Symplicity
1015 HTN-2 trial population^[1] was followed for the two treatment options investigated (RDN+SoC or
1016 SoC alone). The estimated effects of both treatment options (RDN and SoC) were based on results
1017 of the Symplicity HTN-2 trial. The model used the reductions in SBP observed in the randomized
1018 controlled trial and applied associations, derived from the published literature, between SBP and
1019 clinical events^[2] to estimate their number by type⁴⁹. Specifically the transition probabilities used in
1020 the model were derived from the Framingham risk equations (for cardiovascular event
1021 probabilities), the PROCAM (Prospective Cardiovascular Münster Heart Study) risk equation (for
1022 myocardial infarction incidence) and from a cohort study by Hsu et al. (for the estimated ESRD
1023 incidence)^{51 52}. Mortality rates and utility values (adjusted for different age groups) were based on
1024 the most recent published evidence. Context specific utility values and mortality rates, if available,
1025 were used in the economic analyses based on the model by Geisler et al.^{48 49}.

1026 The remaining study, Dorenkamp et al.⁵⁰, developed a Markov model structured in two arms
1027 (RDN+BMT or BMT alone) with 1 year cycle length and half cycle correction, able to follow a
1028 cohort of patients with resistant hypertension during their entire life (base-case). The simulated
1029 cohort was comprised of men and women with baseline SBP ≥ 160 mmHg despite compliance with
1030 at least 3 antihypertensive drugs (including 1 diuretic) and with an age ranging from 30 to 100
1031 years or death. The efficacy of RDN was estimated as the reduction in the risk of clinical events
1032 (MI, angina, stroke, HF, ESRD) and death (CVD/non-CVD) associated to hypertension (blood
1033 pressure levels). Systolic BP reduction in base-case was based on the findings of Symplicity trials⁹
1034 ^{31 53}. Probabilities of clinical events and death occurring during the 1st year after RDN were
1035 estimated using the Systemic Coronary Risk Evaluation (SCORE) risk estimation system (CHD and
1036 stroke) and from a published study (ESRD)⁵⁴ starting from the incidence of primary CVD events
1037 and ESRD events recorded in the German/North European registries and in German QuaSi-Niere

[1] The cohort had a mean Systolic blood pressure (SBP) equal to 178±18 mmHg at baseline, was on average on 5 medications, had a mean age of 58 (55 to 61) years, was comprised for 43% of women, had a diabetes mellitus prevalence of 34%, and a current smoker prevalence of 16%.

[2] The clinical endpoints of interest were: stroke, myocardial infarction (MI), coronary heart disease (CHD), heart failure (HF), end-stage renal disease (ESRD), cardiovascular (CVD) mortality, all-cause mortality.

1038 renal registry respectively. Subsequent events, as well as mortality rates both within and after the
1039 1st year were drawn from large registries or/and randomized controlled trials. Utility values were
1040 obtained from published evidence.

1041 One important issue is that in all trial clinical benefits associated with RDN are measured in terms
1042 of SBP reductions, an intermediate endpoint. There is a lack of trials comparing hard clinical
1043 endpoints in patients treated with RDN versus OMT. As a consequence all economic models relied
1044 on the assumption that the lower SBP levels after RDN would translate into reductions in event
1045 rates derived from published studies⁵⁰.

1046 The included studies did not report detailed information about the typology, quantities and unit
1047 costs of the resources used to realize the RDN procedure, so we found no available evidence to
1048 answer AEs **E0001**, **E0002** and **E0009**. This is because all the included models were aimed at
1049 estimating the economic impact of a cohort of patients treated with the RDN in reducing
1050 cardiovascular events and deaths compared to the standard of care (SoC) during patients' lifetime.
1051 The economic models were populated with cost data estimating the clinical management of
1052 patients in chronic health states as well as acute events (e.g. stroke, MI), anti-hypertensive
1053 pharmacological treatments (SoC) and RDN. Cost data were derived mainly from national
1054 administrative databases and also from a literature search.

1055 In Geisler et al.⁴⁹ direct medical costs of the treatments were measured in 2010 US Dollars and
1056 were derived from published studies, context data and device manufacturers. Cost input
1057 parameters used in the model developed by Dorekamp et al.⁵⁰ were derived from multiple sources
1058 including: German DRG (version 2012), German pharmaceutical price lists, and German fee
1059 schedules for doctors and outpatient visits. Health care costs comprised hypertension therapy
1060 (RDN or BMT), adverse CVD events and ESRD, and are expressed in Euros for the year 2012. In
1061 Gladwell et al.⁴⁷ direct medical costs and social care costs were expressed in 2012 UK Pounds and
1062 were derived from UK costs data (hospital-based care and ad hoc systematic searches to calculate
1063 the costs of the clinical management of patients in chronic health states and the costs of acute
1064 clinical events), from the British National Formulary (economic burden of the anti-hypertensive
1065 pharmacological treatments), from the manufacturers (cost of the RDN procedure). The economic
1066 model by Henry et al.⁴⁸ used costs data taken from the Netherlands costs data and literature
1067 (clinical management of patients in health states and the anti-hypertensive pharmacological
1068 treatments), from the manufacturer (the RDN procedure, including both the procedure and
1069 material costs and the screening phase resources). Direct medical costs of treatments and
1070 consequences were expressed in 2012 Euros. The findings of the included studies with respect to

1071 the total costs of the comparative treatments resulting from the base-case analysis are reported in
1072 Table 14.

1073 We found out that RDN results to be associated with increased healthcare costs during the entire
1074 life of patients compared to the standard of care when considering discounted costs. However it
1075 appears to be cost saving in two undiscounted analyses in which negative incremental costs are
1076 reported^{48 49}. As stated by the authors of the included studies, such additional costs are mainly due
1077 to the higher initial costs of the RDN procedure.

1078 Efficacy results were measured in life years gained (LYs), quality adjusted life years (QALYs) and
1079 median survival in the 4 included economic evaluations. The analysis of the efficacy findings
1080 showed that patients undergone RDN gained more life years/median survival as well as higher
1081 QALYs compared to those treated with standard of care alone (see Table 14) **(E0005)**. As
1082 reported by the authors, the additional health benefit of RDN was associated with the reductions in
1083 the risk of clinical events and death, *"resulting in patients spending less time in severe health*
1084 *states with low HRQoL (health related quality of life)"*⁴⁸.

1085 The base-case incremental cost-effectiveness ratios (ICERs) reported by all the economic models
1086 were under the willingness to pay (WTP) threshold established in the country of reference. The
1087 ICER per QALY varied from US Dollars 3,071⁴⁹, well below the WTP threshold equal to US Dollars
1088 50,000, to UK Pounds 4,805⁴⁷ which is substantially below the NICE WTP thresholds (UK Pounds
1089 20,000-30,000) or to €2,914⁴⁸ under the conventional threshold levels used by Zorginstituut
1090 Nederland (€10,000-80,000). Finally the ICER per QALY estimated by Dorekamp et al.⁵⁰ ranged
1091 from €1,512 in men 50 years of age (€1,560 for women) to €62,417 in men 90 years of age
1092 (€126,633 for women). ICERs were below the WTP threshold - set at an internationally accepted
1093 level of €25,000 to €35,000 – for patients up to 85 years of age⁵⁰. Hence RDN was cost-effective
1094 compared to standard of care (see Table 14) **(E0006)**. An analysis by age groups showed that
1095 ICER increases with increasing age, due to lower incremental health benefits; specifically the
1096 shorter survival time after RDN procedure and smaller assumed reduction in CVD events and death
1097 (resulting in lower QALY gains). Consequently, RDN seems to be generally more favourable in
1098 younger patients⁵⁰.

1099 Table 14: Studies results (base-case) – efficacy, costs, cost-effectiveness.

Study	Cost results		Efficacy results [0005]				Discount rate	Differences in costs and results [0006]	
	Intervention	Comparator	Intervention		Comparator				
Geisler, 2012	Incremental Costs: 2,013US\$ (discounted) -1,769US\$ (undiscounted)		Median survival: 18.37	QALY: 13.17	Median survival: 17.07	QALY: 12.07	3% costs and benefits per year	ICER (US\$/LY): 2,715	ICER (US\$/QALY): 3,071
Dorenkamp, 2013	50y M: 32,349€ 60y M: 29,738€ 70y M: 25,434€ 80y M: 17,436€ 85y M: 15,121€ 90y M: 11,605€ 50y W: 31,325€ 60y W: 29,005€ 70y W: 24,584€ 80y W: 17,076€ 85y W: 14,026€ 90y W: 10,729€	50y M: 30,474€ 60y M: 27,149€ 70y M: 22,601€ 80y M: 14,065€ 85y M: 11,703€ 90y M: 7,860€ 50y W: 29,593€ 60y W: 26,961€ 70y W: 22,113€ 80y W: 13,908€ 85y W: 10,612€ 90y W: 6,930€	LY gained: 50y M: 15.69 60y M: 12.99 70y M: 9.72 80y M: 6.27 85y M: 4.69 90y M: 3.47 50y W: 17.91 60y W: 15.12 70y W: 11.58 80y W: 7.35 85y W: 5.36 90y W: 3.83	QALY: 50y M: 14.52 60y M: 11.91 70y M: 8.84 80y M: 5.74 85y M: 4.26 90y M: 3.17 50y W: 16.86 60y W: 14.12 70y W: 10.76 80y W: 6.85 85y W: 4.98 90y W: 3.56	LY gained: 50y M: 14.50 60y M: 12.00 70y M: 9.04 80y M: 5.94 85y M: 4.46 90y M: 3.35 50y W: 16.84 60y W: 14.25 70y W: 10.98 80y W: 7.07 85y W: 5.18 90y W: 3.74	QALY: 50y M: 13.28 60y M: 10.93 70y M: 8.18 80y M: 5.45 85y M: 4.08 90y M: 3.11 50y W: 15.75 60y W: 13.24 70y W: 10.18 80y W: 6.61 85y W: 4.85 90y W: 3.53	3% costs and benefits annually	ICER (€/LY): 50y M: 1,576 60y M: 2,615 70y M: 4,166 80y M: 10,215 85y M: 14,861 90y M: 31,208 50y W: 1,619 60y W: 2,349 70y W: 4,118 80y W: 11,314 85y W: 18,967 90y W: 42,211	ICER (€/QALY): 50y M: 1,512 60y M: 2,642 70y M: 4,292 80y M: 11,624 85y M: 18,989 90y M: 62,417 50y W: 1,560 60y W: 2,323 70y W: 4,260 80y W: 13,200 85y W: 26,262 90y W: 126,633
Gladwell, 2014	11,770UK£ (discounted) 16,965UK£ (undiscounted)	8,810UK£ (discounted) 14,678UK£ (undiscounted)	Incremental LY: 0.5 (discounted) – 1.07 (undiscounted) Incremental QALY: 0.62 (discounted) – 1.19 (undiscounted)				3.5% costs and benefits per annum	ICER (UK£/LY): 5,887 (discounted) 2,146 (undiscounted)	ICER (UK£/QALY): 4,805 (discounted) 1,916 (undiscounted)
Henry, 2015	23,461€ (discounted) 38,190€ (undiscounted)	20,861€ (discounted) 38,226€ (undiscounted)	Incremental LY: 0.78 (discounted) – 1.09 (undiscounted) Incremental QALY: 0.89 (discounted) – 1.20 (undiscounted)				4.0% costs 1.5% benefits per annum	ICER (€/LY): 3,335 (discounted) -33 (undiscounted)	ICER (€/QALY): 2,914 (discounted) -30 (undiscounted)

1100 Key: NR, not reported; QALY, quality-adjusted life year; ICER, incremental cost-effectiveness ratio; y, year; M, men; W, women; LY, life year.

1101

1102 Deterministic, probabilistic and scenario analyses were performed in all studies to assess the
1103 uncertainty in the values of the model parameters and to test the robustness and generalizability
1104 of the model results **(E0010)**. The base-case scenario tested by Dorekamp et al.⁵⁰ included
1105 patients aged 60 years which is the mean age of patients in the Symplicity trials. The findings of
1106 the deterministic analyses from the 4 studies included are consistent. ICER values were sensitive
1107 to: expected lowering effect on SBP with RDN, costs of RDN procedure and baseline SBP.
1108 Probabilistic analyses showed that the ICER remains well below the accepted WTP thresholds. In
1109 particular the probability that RDN would be cost-effective compared to standard of care:
1110 ▪ ranges from 97% (WTP = US Dollars 30,000 per QALY) to 99.6% when considering
1111 WTP = US Dollars 50,000 per QALY⁴⁹;
1112 ▪ remains 95% up to an age of 76 and 75 years in men and women respectively at a
1113 WTP = €25,000/QALY⁵⁰;
1114 ▪ is equal to 100% for a WTP >€12,000/QALY⁴⁸.
1115 Finally, scenario analyses were performed in 3 studies^{47 48 50} while the remaining study⁴⁹ carried out
1116 a threshold analysis. One scenario investigated by all the studies assumed smaller treatment
1117 effects of RDN in terms of SBP reduction. Assumptions, parameters and results of scenario
1118 analyses are represented in Table 15.

1119 Table 15: Scenario analyses

Scenario assumption	Study	Base-case parameters	Scenario Parameters	Study results
Decreased SBP effects after RDN	Dorekamp, 2103	RDN arm → ΔSPB= -20.00 mmHg [Assumption based on Symplicity trials ^{31 53}]	RDN arm → ΔSBP= -10 mmHg	"We found even a 10 mmHg decrease to be cost-effective" (ICER<€8,000 per QALY).
	Gladwell, 2014	RDN arm → ΔSPB= -32.00 mmHg ³¹	RDN arm → ΔSPB= -14.13 mmHg ³⁸	At lower treatment effect, RDN is still cost-effective (ICER=UK£18,849 per QALY). "Furthermore, RDN results to be cost-effective at the threshold value of UK£30,000 up to a reduction in SPB from baseline of approximately 10 mmHg (...)".
	Henry, 2015		RDN arm → ΔSPB= -14.13 mmHg ³⁴	The ICER for RDN would be equal to €17,270/QALY so "within the band of acceptable cost-effectiveness thresholds used in The Netherlands".
Increased SBP effects after RDN	Dorekamp, 2103	RDN arm → ΔSPB= -20.00 mmHg [Assumption based on Symplicity trials ^{31 53}]	RDN arm → Δ- SBP = 30 mmHg	"(...) it resulted in an even better cost-effectiveness of RDN".
Shorter duration of RDN effects	Gladwell, 2014	Base-case effect of RDN was assumed to be maintained and continued over time	Retreatment with RDN every 10 years	Discounted ICER increased to UK£11,682/UK£14,312 (per LY/QALY) "(...) comfortably below the NICE cost-effectiveness thresholds".
	Henry, 2015		Waning in RDN effect by 1 mmHg per year and re-treatment after 10 year	"RDN therapy remains cost-effective with an ICER value of €9,056".
Increased age of patients undergoing RDN	Henry, 2015	Average patients age = 58 years ³¹	Patients aged >58 years	"The ICER for RDN falls below €10,000/QALY up to the age of 75".
	Dorekamp, 2103	Patients age = 60 years [Assumption based on Symplicity trials ^{31 53}]	Patients aged >80 years	"ICER exceeded €35,000 per QALY and thus RDN may only be considered cost-effective at higher WTP thresholds".
Considering non-responder rate to RDN	Dorekamp, 2103	NR	Non-responder rate = 30%	"RDN remained cost-effective even if 30% of patients did not respond to therapy and blood pressure levels persisted at initial elevated systolic levels" (ICER<€6,000 per QALY).
Decreasing and increasing discounting rates	Dorekamp, 2103	Discount rate = 3% per annum	Discount rate=0% and 5% per annum	NR

1120 Key: SBP, systolic blood pressure; RDN, renal denervation; Δ, variation; ICER, incremental cost-effectiveness ratio; QALY, quality-adjusted life year; WTP, willingness to pay; NR, **1121** not reported.

1122 Scenario analyses showed that RDN was cost-effective even changing the values of parameters.
1123 The threshold analyses by Geisler et al.⁴⁹ showed that although ICER increases with smaller SBP
1124 reductions after RDN it remains cost-effective at the WTP threshold of US Dollars 50,000 per QALY
1125 up to a treatment effect less than 11.1 mmHg. In addition authors highlighted that SBP reduction
1126 would need to decrease by more than 3 mmHg per year for ICER to exceed the WTP threshold.
1127 Finally even assuming that RDN is performed 3 times, every 10 years, it would remain cost
1128 effective with an ICER of US Dollars 19,869 per QALY⁴⁹.

1129 The authors of the economic studies included in our analysis were all in agreement that RDN is a
1130 cost-effective treatment strategy in patients with resistant hypertension in which a significant
1131 reduction in systolic blood pressure up to 11 mmHg has been achieved as it might be associated
1132 with substantial reductions in cardiovascular morbidity and mortality. Age groups analysis by
1133 Dorekamp et al.⁵⁰ showed also that RDN provide better cost-effectiveness ratios in younger
1134 patients.

1135

1136 ***Assessment of methodological quality of the studies***

1137 We evaluated the quality of the included studies using the checklist for economic evaluations of
1138 health programmes⁴⁶. The checklist was grouped in ten sections under three headings: Study
1139 design (7 items), Data collection (14 items) and Analysis and interpretation of results (14 items)
1140 comprising a total of 35 items. Each item could be answered with 4 options: "yes", "no", "not
1141 clear" or "not appropriate". The sections included in the headings are the following: study
1142 question, selection of alternatives, form of evaluation (Study design), effectiveness data, benefit
1143 measurement and valuation, costing, modelling (Data collection) and adjustments for timing of
1144 costs and benefits, allowance for uncertainty, presentation of results (Analysis and interpretation
1145 of results).

1146 Based on the checklist, all studies were of medium-high quality, meeting, partially or completely,
1147 at least 21 of the 35 items. Henry et al.⁴⁸ was the study with high positive answers (26/35) while
1148 the lowest positive answer rate was for Geisler et al.⁴⁹ (21/35). In all studies the unmet items
1149 (with "no" answer) were related to the costing section, specifically the quantification of resources
1150 used and the methods for the measurement of quantities and unit costs.

1151

1152 ***Analysis of the evidence***

1153 Based on our systematic review of economic evaluations RDN was a cost-effective option in
1154 resistant hypertensive patients. The models showed that although RDN produced higher costs

1155 compared to the SoC, the longer survival and lower assumed risk of clinical and cardiovascular
1156 events and deaths associated with RDN more than offset its high initial procedural costs.

1157 However the clinical effectiveness findings on RDN from our systematic review (see Chapter 5)
1158 undermine the conclusions drawn by economic evaluations. The majority of included studies
1159 comparing RDN versus OMT reported a lack of additional effects associated with RDN, so it is
1160 unclear how such a treatment could be cost-effective. To answer this crucial issue we conducted a
1161 more critical and careful analysis on the assumptions underlying the economic models assessed. In
1162 particular we focused on the clinical parameters used as inputs of the economic models.

1163 The premise of our analysis is that we found no study assessing hard clinical endpoints (e.g.
1164 cardiovascular mortality, all causes mortality, stroke, etc.) in the cohort of patients who had either
1165 undergone RDN or were treated with OMT at long term follow up. The clinical benefits of both
1166 RDN and OMT were measured using a surrogate clinical outcome, namely the SBP reduction from
1167 baseline. On the basis of the SBP reductions resulting from the treatments and observed in the
1168 RCTs, the associated probabilities/risks of the occurrence of acute clinical events and CVD/non
1169 CVD death were extrapolated using multivariate risk equations or were derived from published
1170 evidence^[3]. Therefore the clinical parameters used as inputs of the economic models were based
1171 on several assumptions and extrapolated using multivariate risk equations. The assumptions on
1172 the clinical characteristics of the cohorts and the treatments' effect on SBP used to populate the
1173 models are reported in Table 16.

1174 Deterministic sensitivity analyses performed within the studies showed that models' results are
1175 mainly sensitive to the effect size of RDN in terms of SBP reduction. The majority of the economic
1176 studies included (3/4) assumed a SBP reduction equal to the SBP reduction recorded in the
1177 Symplicity HTN-2 trial at 6 months follow up (-32 mmHg). The remaining study assumed a SBP
1178 reduction of 20 mmHg based on the results of the more recent Symplicity HTN-1 trial⁴⁰. However
1179 two issues need to be considered:

- 1180 - the uncertainty around RDN effect size as well as the duration due to the lack of long-term
1181 data and the recent results from the HTN-3 clinical trial³⁴;
- 1182 - the lack of additional effects associated with RDN resulting from our systematic review.

1183

1184

1185

^[3] A more detailed description of the models' structure is reported in the previous paragraph.

1186

1187

1188 **Table 16:** Assumptions underlying the economic models

Study	Cohort clinical characteristics	SBP reduction
Geisler, 2012	<ul style="list-style-type: none"> - Cohort was assumed to have the same clinical characteristics of the Symplicity HTN-2 trial population. - Cohorts were assumed not to include patients with prior cardiovascular events, manifest CHD or ESRD. - Patients in both cohorts were assumed to be maintained on the antihypertensive medications from their baseline. 	<ul style="list-style-type: none"> - SBP reduction was assumed to be equal to 32 mmHg after RDN based on the Symplicity HTN-2 trial results at 6-month follow up. - The treatment effect of RDN was assumed to be maintained and continued over the lifetime horizon.
Gladwell, 2014	<ul style="list-style-type: none"> - Cohort was assumed to have the same clinical characteristics of the Symplicity HTN-2 trial population. - Cohorts were assumed to not include patients with prior cardiovascular events, manifest CHD or ESRD. 	<ul style="list-style-type: none"> - SBP reduction was assumed to be =32 mmHg after RDN based on the Symplicity HTN-2 trial results at 6-month follow up. - The treatment effect of RDN was assumed to be maintained and continued over time. - Patients allocated to the SoC arm were assumed to have a continuing SBP=178 mmHg.
Henry, 2015	<ul style="list-style-type: none"> - Cohort was assumed to have the same clinical characteristics of the Symplicity HTN-2 trial population. - Patients allocated in the RDN arm were assumed to remain on the same pharmacological treatment after RDN. 	<ul style="list-style-type: none"> - SBP was assumed to decrease by 32±23 mmHg within the RDN group and SBP was assumed to increase by 1±21 mmHg in the Soc group based on the Symplicity HTN-2 trial results at 6-month follow up. - The treatment effect of RDN was assumed to be maintained and continued over a patient's lifetime. - Patients allocated to the SoC arm were assumed to have a continuing SBP of 178 mmHg.
Dorenkamp, 2013	<ul style="list-style-type: none"> - Patients enrolled were assumed to be free from prior CVD or renal disease. - Patients allocated in both RDN and BMT groups were assumed to remain on the same 3-drug therapy. 	<ul style="list-style-type: none"> - RDN was assumed to result in sustained SBP reduction=20 mmHg. - In BTM arm the elevated SBP was assumed to remain unchanged. - The reduction in SBP associated with RDN was maintained over the lifetime of the patient. - Beneficial effects of RDN, other than those consequent to blood pressure reduction, were not included in the model.

1189

1190

1191 Our meta-analysis did not show any effect of RDN on SBP at 6-months follow up in the ambulatory
 1192 setting [WMD -2.80 (95% CI -6.08 to 0.47); I² 50%, P = 0.14] as well as in the home setting
 1193 [WMD -1.52 (95% CI -4.39 to 1.35); I² 0%, P = 0.64]. The pooled estimate of SBP reduction in
 1194 the office setting suggested a relevant but not statistically significant effect of RDN over SoC for
 1195 this outcome at 6-months follow up [WMD -19.03 (95% CI -38.98 to 0.92)]. The main reason of

1196 the last finding may be due to the sizeable heterogeneity of results ranging from a SBP reduction
1197 of 2.39 mmHg³⁴ to 31.00³¹ after RDN (see Chapter 5).

1198 As already described the probabilistic and scenario analyses undertaken to test the models
1199 assessed the cost-effectiveness of RDN at lower treatment effect (see Table 15) up to a SBP
1200 reduction equal to 10 mmHg. However the available evidence did not confirm an actual
1201 incremental effect of RDN over SoC in terms of SBP reductions. Another issue to be considered is
1202 the duration of RDN effect since the economic analyses were conducted using a life-time horizon.
1203 All models were based on the assumption that the short-term effect of RDN was maintained and
1204 continued over the lifetime horizon (see Table 16). However the lack of long-term data and the
1205 results from the HTN-3 clinical trial³⁴ make it impossible to confirm this assumption.

1206 To populate the economic models extrapolations were required well beyond the length of available
1207 data from RCTs. Hence the findings from the economic models rely heavily on the predictive
1208 equations; over all the multivariate risks equations used to estimate the probabilities of acute
1209 clinical events and deaths. As regards the Framingham risk equations, Henry et al have stated that
1210 there is evidence that such risk equations, based on a US population, could "*overestimate the risk*
1211 *of cardiovascular events in European populations*". So "*(...) the true predictive power of*
1212 *Framingham risk equations in this resistant hypertension population is unknown*"⁴⁸. Hence the
1213 clinical benefits of RDN could be uncertain and that could be reflected in economic evaluations.

1214 Based on the deterministic analyses, ICERs were sensitive to the baseline SBP. The economic
1215 models assumed that the cohort of patients have the same clinical characteristics of the Symplicity
1216 HTN-2 trial population with a mean baseline SBP = 178 mmHg - in spite of the concurrent use of 3
1217 antihypertensive agents, including a diuretic, at maximum tolerated dose - which is higher than
1218 the defined SBP value for resistant hypertension (>140 mmHg)². Such a higher SBP level at
1219 baseline could overestimate the actual effect of RDN in terms of SBP reduction in the real world.

1220 The models relied on the assumption that in the SoC/OMT cohort the SBP levels remain
1221 unchanged according to the findings from Symplicity HTN-2 trial³¹. However one small trial⁴⁴
1222 reported dominance of carefully adjusted and supervised OMT versus RDN in which greater
1223 attention was to titration and compliance of patients to pharmacological treatments. All 4 groups
1224 of modellers did not allow for this real-world occurrence, when therapy is changed according to
1225 patients' responses to it.

1226 Finally for unexplained reasons the effects of RDN on diastolic blood pressure, which is part of the
1227 definition of hypertension, were not taken into consideration.

1228

1229

1230 ***Cost analysis***

1231 To estimate the cost of the RDN procedure in the Italian context we performed a search of
1232 published studies reporting Italian cost data. In addition we submitted a questionnaire to
1233 manufacturers Agenas met in face-to-face meetings asking for information including cost data in
1234 our country (see Appendix 4).

1235 The clinical care pathway of patient treated with renal denervation is structured in three main
1236 phases: pre-intervention, intervention and follow up. For each phase we identified the typology of
1237 resources needed, the number of units to be used and the unit costs. Data referring on resource
1238 use and quantities were derived mainly from the previous systematic review on renal denervation
1239 by Agenas⁴⁵, manufacturers and clinical experts. The unit costs and ranges were collected mostly
1240 from national legislative sources and (if missing) from the micro-costing analysis performed in the
1241 Veneto Region⁴⁵. The cost of the devices was provided by manufacturers who filled in the
1242 questionnaire. Given the prices provided we estimated the mean cost of the renal denervation
1243 catheter to calculate the overall cost of the RDN procedure. The lowest and highest prices (range
1244 values) of the RDN catheter were used to carry out further costing analyses. The procedural times
1245 needed to perform the RDN, according to the manufacturers, were very similar ranging from 23 to
1246 30 minutes (up to 60 minutes for the first procedure). We considered 30 minutes as average
1247 procedural time. Results of cost analysis are reported in Table 17.

1248

1249

1250

1251 **Table 17:** Cost analysis - resources use and costs

Item	Number of units	Unit cost (range) [§]	Comment
<i>Pre-intervention phase</i>			
Specialist visit	1	€12.91 (11.90-18.00)	Ambulatory tariff, code 89.01 ¹⁵
Complete abdominal CT scan (with or without contrast)	1	€158.04 (158.00-279.20)	Ambulatory tariff, code 88.01.6 ¹⁵
24-hour ambulatory blood pressure	1	€41.32 (41.00-52.80)	Ambulatory tariff, code 89.61.1 ¹⁵
Creatinine test	1	€1.60 (1.60-3.60)	Ambulatory tariff, code 90.16.4 ¹⁵
Cistatine C test	1	€15.20	Ambulatory tariff, code 90.13.A ⁴⁵
Urine test	1	€2.17 (2.05-3.00)	Ambulatory tariff, code 90.44.3 ¹⁵
Haemoglobin test	1	€7.41 (7.40-13.60)	Ambulatory tariff, code 90.28.1 ¹⁵
<i>Intervention phase</i>			
Arteriography	1	€216.40	Ambulatory tariff, code 88.45 ⁴⁵

Operating room time	60 min (mean time including patient preparation)*	€559.10/h	Full cost ⁴⁵
Procedural time	30 min*		Mean time (from manufacturers)
Cardiologist/radiologist/vascular surgeon	1/2 for 30 min*	€62.06/h	Full cost ⁴⁵
Nurse	1/2 for 60 min*	€26.32/h	Full cost ⁴⁵
Radiology technician	1/2 for 30 min*	€ 26.10/h	Full cost ⁴⁵
Anaesthesiologist	0/1 for 30 min*	€62.06/h	Assumption**
Analgesia droperidol, morphine sulphate		€4.30	Full cost ⁴⁵
Cardiology ward	1/2 d*	€95.40/d	Full cost ⁴⁵
Renal denervation catheter	1	€4,700 (2,500-8,000)	Mean price (from manufacturers)
Follow up phase			
Creatinine test (1 week and 6 months)	2	€1.60 (1.60-3.60)	Ambulatory tariff, code 90.16.4 ¹⁵
Potassium test	1	€1.02 (1.00-2.00)	Ambulatory tariff, code 90.37.4 ¹⁵
Sodium test	1	€1.02 (1.00-2.00)	Ambulatory tariff, code 90.40.4 ¹⁵
Arterial ultrasound scan	1	€68.40	Ambulatory tariff, code 887451 (Veneto Region) ¹⁵
24 hours ambulatory blood pressure	1	€41.32 (41.00-52.80)	Ambulatory tariff, code 89.61.1 ¹⁵
Specialist visit	2/3	€12.91 (11.90-18.00)	Ambulatory tariff, code 89.01 ¹⁵

1252 ‡ Ranges, representing the min and max charge applied in the Italian Regions, were taken from the document by Morandi I.,
1253 (Agenas). "Prestazioni specialistiche ambulatoriali. Confronto tra le tariffe nazionali ex DM 18.10.2012 e le tariffe regionali
1254 vigenti al 31.12.2014" [<http://www.agenas.it/prestazioni-specialistiche-ambulatoriali-confronto-tra-tariffe>] with the exception of
1255 the cost of renal denervation catheter.

1256 * Data provided by manufacturers.

1257 ** We assumed for anesthesiologist the same fee of the other specialist physicians.

1258 **Key:** DM, ministerial decree; DGR, Regional decree; CT, computed tomography; min, minutes; h, hour(s); d,
1259 day(s).

1260

1261

1262 The total cost of the pre-intervention phase is €238.65, ranging from €237.15 to €385.40

1263 considering the minimum and maximum regional ambulatory tariff. To be noted that the highest

1264 costs occur in the intervention phase. Considering the average cost of the catheter (€4,700) the

1265 total cost of this phase ranges from €5,645.60 to €5,842.43 depending on the staff involved in the

1266 procedure. Assuming consumption to be the mean number of units for each resource, the

1267 intervention phase costs €5,744 on average. At the lowest cost of the device (€2,500) the

1268 intervention phase average cost decreases to €3,544.20 (range: €3,445.60-€3,642.43) whereas it

1269 amounts at €9,044.02 (range: €8,945.60-€9,142.43) when considering the highest device cost

1270 equal to €8,000. The cost of the device accounts for 72%-88% of the total interventional costs.

1271 Finally the total costs incurred in the follow up phase are equal to €140.78 (range^[4]: €138.40-
 1272 €168.40) when considering 2 specialist visits at follow up. Follow up costs increase at €153.69
 1273 (range^[5]: €150.30-€186.40) if 3 specialist visits are planned. In conclusion the overall cost of RDN
 1274 procedure is on average €6,129.90 with a range from a minimum value of €3,821.15 to a
 1275 maximum value of €9,714.23 (Table 18).

1276

1277

1278 **Table 18:** RDN costs - summary

Phase	Average cost	Range (Min-Max)	Notes
Pre-intervention	€238.65	€237.15-€385.40	<i>Range values are based on different regional ambulatory tariffs</i>
Intervention	€5,744.02	€3,445.60-€9,142.43	<i>Range values are measured according to different cost of catheters and the resources used in the procedure</i>
Follow up	€147.24	€138.40-€186.40	<i>Range values are based on different regional ambulatory tariffs and the number of follow up visits</i>
Total	€6,129.90	€3,821.15-€ 9,714.23	

1279

1280

1281 Pre-intervention and follow up costs are marginal accounting for at least 2% (up to 10%) and 1%
 1282 (up to 5%) of the overall costs associated to RDN procedure respectively. The higher costs are
 1283 incurred in the intervention phase which accounts for at least 86% (up to a maximum of 96%) of
 1284 the overall costs. The device is the highest cost item and represents at least 59% of the overall
 1285 costs (up to a maximum of 86%).

1286

1287

1288 **Conclusions**

1289 The analysis of the available economic evidence showed that all the economic models are based
 1290 on short term findings and assumptions that haven't been proved yet by clinical trials; so results
 1291 from existing economic evaluations should be interpreted and used with caution. Further economic
 1292 studies based on final clinical outcomes as well as long term clinical data are needed. Such

[4] The range values of the total costs at follow up are estimated on the basis of the minimal and maximal regional ambulatory tariff.

[5] The range values of the total costs at follow up are estimated on the basis of the minimal and maximal regional ambulatory tariff.

1293 analyses should be able to estimate the consequences of RDN in terms of acute clinical events and **1294** CVD/non CVD death with more reliability.

1295 The cost of the RDN procedure within the Italian National Health System was estimated on the **1296** basis of the clinical care pathway of patient treated with RDN. The overall cost of RDN was on **1297** average €6,133 – consistent with the included economic studies - ranging from a minimum value **1298** of €3,821.15 to a maximum value of €9,709.14. The device accounts for the most part of the **1299** overall RDN cost.

1300

1301 **7. Discussion**

1302 Transcatheter renal denervation is mentioned in the latest ESH-ESC guidelines as one of the two
1303 non-drug therapies for treatment-resistant hypertension (*class of recommendation IIb, level of*
1304 *recommendation C*). The present HTA report focused on the impact of the introduction and use of
1305 renal denervation systems in the management of subjects with treatment-resistant hypertension.
1306 Since OMT is currently the standard management strategy for such patients, it was selected as
1307 comparator for the analyses.

1308 As of today, five manufacturers are present on the Italian market of renal denervation systems
1309 (Boston Scientific, Medtronic, ReCor Medical, St. Jude Medical, and Terumo). Ablation catheters are
1310 available in different designs and offer different performance in terms of ablation time.

1311 From 2010 to 2014, the total number of percutaneous renal denervation procedures was 420
1312 (annual procedures ranged between 25 and 166). Patients were on average 61.35 years old and
1313 61.2% of them was male.

1314 Using data from published literature, we summarised the evidence of the effects of adding renal
1315 denervation to OMT in refractory hypertension. Overall, we identified and examined 10 publications
1316 reporting 7 trials, 6 of which were randomised. The review's outcomes were: change in average
1317 measurements of systolic and/or diastolic blood pressure; all causes mortality; cardiac mortality; as
1318 well as major cardiovascular events including myocardial infarction, heart failure, and stroke.

1319 Despite initial enthusiasm with the results of the first two trials^{31 41}, the results of our meta-analysis
1320 do not show any significant reduction of either systolic or diastolic blood pressure at 6 months'
1321 follow-up in an ambulatory setting. In an office setting, pooled data in meta-analysis at 6 months'
1322 follow-up were compromised by a highly significant heterogeneity. In a home setting, the results
1323 from only two studies at 6 months' follow-up showed a reduction in diastolic pressure in favour of
1324 renal denervation but not in systolic pressure.

1325 The heterogeneity of the results from the included trials may have different explanations. While the
1326 OSLO study showed a higher decrease in the control group than in the denervation group⁴⁴, at the
1327 other end of the spectrum the SYMPPLICITY HTN-2 trial³¹) reported an impressive reduction in office
1328 measurement (33 mmHg for systolic and 12 mmHg for diastolic). One important reason for this
1329 diversity can be attributed to the criteria used to define resistant hypertension. For example, in the
1330 OSLO study the average systolic/diastolic pressure values at entry were much lower [156 (12.6) / 91
1331 (14.9) mmHg] than the corresponding values in the SYMPPLICITY HTN-2 trial [178 (SD18) / 97
1332 (SD16) mmHg]. The SYMPPLICITY HTN-2 trial had similar basic characteristic with SIMPLICITY HTN-

1333 1 trial⁴¹ and showed similar impressive results in favour of renal denervation. However, these results
1334 were not observed in the SYMPLICITY HTN-3 trial that had similar pressure values to the two
1335 previous trials. Unlike these trials, the SYMPLICITY HTN-3 was at low-risk of bias and the sample
1336 size was large enough. Other reasons of heterogeneity may include the interventions used in the
1337 control group, the technique used for blood pressure measurement, and adherence of patients to
1338 medication. Assessing overall and cardiac mortality, no death occurred in the trials except in one³⁴
1339 (2 in the denervation group and 1 in the control group). However, the time of follow-up was not
1340 sufficient to reach a robust conclusion. With the exclusion of two trials^{41 43} in which no events
1341 occurred, all the trials reported the occurrence of cardiovascular events. In general, the proportion
1342 of such events in these trials was similar between the groups under comparison. Of notice is the
1343 incidence of 5 atrial fibrillation events that occurred in the denervation group against none in the
1344 control group (although there was no statistically significance)³⁴. The main limitation of all the
1345 included trials is that the time of follow-up was not sufficient to reach a robust conclusion on the
1346 occurrence of cardiovascular events. The frequency of adverse outcomes was higher in the
1347 denervation groups than in the control groups but the differences were not statistically significant.
1348 One explanation for the apparent lack of effect could be the testing and use of RDN in a population
1349 who have renal arteries which are damaged by the very high blood pressure, refractory in most
1350 cases to treatment. In such patients the endothelium is likely to have been extensively damaged
1351 and any pressure control pathways already compromised.

1352 Results from currently running studies, especially for NCT01570777 and NCT01888315 may clarify
1353 the real effectiveness of renal denervation in comparison with OMT.

1354 The available economic evidence shows that RDN is a cost-effective option in resistant hypertensive
1355 patients. However this finding was inconsistent with the lack of additional effects associated with
1356 RDN from clinical studies comparing RDN with OMT. To explain this crucial issue we analysed in
1357 more detail the clinical parameters underlying the economic models, comparing them with the
1358 results of available clinical evidence. In the economic evaluations the clinical benefits of both RDN
1359 and OMT were always measured exclusively as SBP reduction from baseline or reduction in events
1360 linked to a reduction of SBP. SBP is an incomplete surrogate clinical outcome. Sensitivity analyses
1361 showed that the results of the economic models are mainly sensitive to the effect size of RDN in
1362 terms of SBP reduction. The majority of the economic studies assumed a SBP reduction equal to 32
1363 mmHg as resulted from the Symplicity HTN-2 trial at 6-months follow up. However the lack of long-
1364 term data and the recent results from the HTN-3 clinical trial³⁴ undermine this assumption. Our
1365 systematic review did not confirm an incremental effect of RDN over OMT in terms of SBP

1366 reductions. In addition the assumption that the short-term effect of RDN was maintained and
1367 continued over the lifetime horizon was not confirmed by the lack of long-term data and the results
1368 from the HTN-3 clinical trial³⁴. The multivariate risks equations used to estimate the probabilities of
1369 events occurring well beyond the length of available data from RCTs are based on specific
1370 populations that could not be representative of European or Italian populations. The SBP level at
1371 baseline assumed in the models – higher than the defined SBP value for resistant hypertension -
1372 could overestimate the actual effect of RDN in terms of SBP reduction in the real world. The models
1373 assumed that in the OMT cohort the SBP levels remain unchanged according to the findings from
1374 Symplicity HTN-2 trial. However one small trial reported dominance of carefully adjusted and
1375 supervised OMT versus RDN when greater attention was paid to drug titration and compliance of
1376 patients to pharmacological treatments. All models did not allow for this real-world occurrence,
1377 when therapy is changed according to patients' responses to it. Finally, for unexplained reasons, the
1378 effects of RDN on diastolic blood pressure, which is part of the definition of hypertension, were not
1379 taken into consideration. The costs of the procedure in Italy are similar to the costs reported in the
1380 included studies. The greatest cost accrued in the procedure is that of device purchase.

1381

1382 8. Recommendations

1383 We recommend awaiting the results of well-designed and adequately followed up trials assessing
1384 the impact of RDN on major cardiovascular events before investing further and using the
1385 technology. Such trials should be followed by good quality economic evaluations based on realistic
1386 assumptions.

1387

1388 **List of acronyms and abbreviations**

- 1389 **AE:** Assessment element
- 1390 **BMT:** Best medical therapy
- 1391 **CBA:** Cost-benefit analysis
- 1392 **CCT:** Comparative controlled trial
- 1393 **CE:** Conformité Européenne
- 1394 **CEA:** Cost-effectiveness analysis
- 1395 **CHD:** Coronary heart disease
- 1396 **CMA:** Cost-minimization analysis
- 1397 **COI:** Competing interests
- 1398 **CRD:** Centre for Reviews and Dissemination
- 1399 **CUA:** Cost-utility analysis
- 1400 **CVD:** Cardiovascular
- 1401 **DBP:** Diastolic blood pressure
- 1402 **ESRD:** End-stage renal disease
- 1403 **EU:** European Union
- 1404 **FDA:** United States Food and Drug Administration
- 1405 **ICD-9-CM:** International Classification of Diseases - 9th Edition-Clinical modification
- 1406 **HF:** Heart failure
- 1407 **HTA:** Health Technology Assessment
- 1408 **HRQoL:** Health related quality of life
- 1409 **ICD:** International Statistical Classification of Diseases and Related Health Problems
- 1410 **ICER:** Incremental cost-effectiveness ratios
- 1411 **LY(s):** Life year(s)
- 1412 **MeSH:** Medical Subject Headings
- 1413 **MI:** Myocardial infarction
- 1414 **NR:** Not reported
- 1415 **OMT:** Optimal medical therapy
- 1416 **QoL:** Quality of life
- 1417 **QALY:** Quality-adjusted life year.
- 1418 **R-AMSTAR:** Revised Assessment of Multiple Systematic Reviews
- 1419 **RCT:** Randomised controlled trial

1420 **RDN-RD:** renal artery denervation

1421 **SBP:** Systolic blood pressure

1422 **SoC:** Standard of care

1423 **WTP:** Willingness to pay

1424

1425 Bibliography

1426

- 1427 1. Maltoni S NA, Trimaglio F, Camerlingo M, Ballini L. . Implantable device for the treatment
1428 of drug-resistant hypertension - Bologna: Agenzia Sanitaria e Sociale Regionale –
1429 Regione Emilia-Romagna; 2015 [Available from: http://assr.regione.emilia-romagna.it/it/servizi/pubblicazioni/short-report/SR8_ipertensione_en/at_download/file.
1430
1431
- 1432 2. Mancia G, Fagard R, Narkiewicz K, et al. 2013 ESH/ESC Guidelines for the management of
1433 arterial hypertension: the Task Force for the management of arterial hypertension of
1434 the European Society of Hypertension (ESH) and of the European Society of
1435 Cardiology (ESC). Journal of hypertension 2013;31(7):1281-357.
- 1436 3. Ezzati M, Lopez AD, Rodgers A, et al. Selected major risk factors and global and regional
1437 burden of disease. Lancet (London, England) 2002;360(9343):1347-60.
- 1438 4. Lewington S, Clarke R, Qizilbash N, et al. Age-specific relevance of usual blood pressure
1439 to vascular mortality: a meta-analysis of individual data for one million adults in 61
1440 prospective studies. Lancet (London, England) 2002;360(9349):1903-13.
- 1441 5. Palmieri L, Lo Noce C, Vanuzzo D, et al. [Cardiovascular Epidemiologic Observatory:
1442 temporal trends of cardiovascular risk factors]. Giornale italiano di cardiologia (2006)
1443 2010;11(5 Suppl 3):31s-36s.
- 1444 6. Calhoun DA, Jones D, Textor S, et al. Resistant hypertension: diagnosis, evaluation, and
1445 treatment: a scientific statement from the American Heart Association Professional
1446 Education Committee of the Council for High Blood Pressure Research. Circulation
1447 2008;117(25):e510-26.
- 1448 7. EUnetHTA. Renal denervation systems for treatment-resistant hypertension. Pilot rapid
1449 assessment of other health technologies using the HTA Core Model for Rapid Relative
1450 Effectiveness Assessment 2013 [Available from:
1451 http://www.eunetha.eu/sites/5026.fedimbo.belgium.be/files/WP5%20Strand%20B2nd%20pilot%20rapid%20assessment_RDN%20systems%20for%20treatment-resistant%20hypertension.pdf
1452
1453
- 1454 8. Yakovlevitch M, Black HR. Resistant hypertension in a tertiary care clinic. Archives of
1455 internal medicine 1991;151(9):1786-92.
- 1456 9. Krum H, Schlaich M, Whitbourn R, et al. Catheter-based renal sympathetic denervation for
1457 resistant hypertension: a multicentre safety and proof-of-principle cohort study.
1458 Lancet (London, England) 2009;373(9671):1275-81.
- 1459 10. Olsen LK, Kamper AL, Svendsen JH, et al. Renal denervation. European journal of
1460 internal medicine 2015;26(2):95-105.
- 1461 11. Todoran TM, Basile JN, Zile MR. Renal sympathetic denervation for blood pressure
1462 control: a review of the current evidence and ongoing studies. Journal of clinical
1463 hypertension (Greenwich, Conn) 2014;16(5):331-41.
- 1464 12. Terumo. press release, 8th April 2013.
1465 <http://www.terumo.com/about/pressrelease/2013/20130408.html>, 2013.
- 1466 13. ReCor. press release, 7th April 2016. <http://www.recormedical.com/news.html>. 2016.
- 1467 14. Medtronic. press release, 1st April 2015. <http://www.massdevice.com/medtronic-takes-another-crack-renal-denervation/>. 2015.
1468
- 1469 15. DM 18/12/2012.
1470 <http://www.trovanorme.salute.gov.it/norme/dettaglioAtto?id=45074&page=newsett>
1471 18/12/2012. (accessed on 30th June 2016). 2012.

- 1472 16. Higgins JPT, Altman DG, Sterne JAC. Chapter 8: Assessing risk of bias in included
1473 studies. In: Higgins JPT, Green S (editors). Cochrane Handbook for Systematic
1474 Reviews of Interventions Version 5.1.0. The Cochrane Collaboration, 2011.
1475 wwwcochrane-handbookorg, 2011.
- 1476 17. Fengler K, Heinemann D, Okon T, et al. Renal denervation improves exercise blood
1477 pressure: insights from a randomized, sham-controlled trial. *Clinical Research in*
1478 *Cardiology* 2016;1-9.
- 1479 18. Chen W, Ling Z, Xu Y, et al. Preliminary effects of renal denervation with saline irrigated
1480 catheter on cardiac systolic function in patients with heart failure: A Prospective,
1481 Randomized, Controlled, Pilot Study Preliminary Effects of Renal Denervation Chen et
1482 al. *Catheterization and Cardiovascular Interventions* 2016.
- 1483 19. Howard JP, Francis DP, Nowbar AN. What blood pressure reduction should we expect
1484 from renal denervation? insights from office versus ambulatory pressure reductions
1485 in uncontrolled and blinded placebo-controlled drug trials of 4,121 patients. *Journal*
1486 *of the American College of Cardiology* 2013;62(18):B149.
- 1487 20. Kjeldsen SE, Narkiewicz K, Oparil S, et al. Renal denervation in treatment-resistant
1488 hypertension - Oslo RDN, Symplicity HTN-3 and INSPIRED randomized trials. *Blood*
1489 *pressure* 2014;23(3):135-7.
- 1490 21. Kario K, Bhatt DL, Brar S, et al. Effect of Catheter-Based Renal Denervation on Morning
1491 and Nocturnal Blood Pressure: Insights From SYMPLICITY HTN-3 and SYMPLICITY
1492 HTN-Japan. *Hypertension* 2015;66(6):1130-7.
- 1493 22. Mahfoud F, Cremers B, Janker J, et al. Renal hemodynamics and renal function after
1494 catheter-based renal sympathetic denervation in patients with resistant hypertension.
1495 *Hypertension* 2012;60(2):419-24.
- 1496 23. Tsioufis C, Papademetriou V, Tsiachris D, et al. Impact of multi-electrode renal
1497 sympathetic denervation on short-term blood pressure variability in patients with
1498 drug-resistant hypertension. Insights from the EnligHTN I study. *International journal*
1499 *of cardiology* 2015;180:237-42.
- 1500 24. Zhang ZH, Yang K, Jiang FL, et al. The effects of catheter-based radiofrequency renal
1501 denervation on renal function and renal artery structure in patients with resistant
1502 hypertension. *Journal of clinical hypertension (Greenwich, Conn)* 2014;16(8):599-
1503 605.
- 1504 25. Ewen S, Ukena C, Linz D, et al. Reduced effect of percutaneous renal denervation on
1505 blood pressure in patients with isolated systolic hypertension. *Hypertension*
1506 2015;65(1):193-9.
- 1507 26. Obremaska M, Boratyńska M, Zyško D, et al. Beneficial effect of bilateral native
1508 nephrectomy as complete denervation on left ventricular mass and function in renal
1509 transplant recipients. *Polskie Archiwum Medycyny Wewnętrznej* 2016;126(1-2):58-
1510 67.
- 1511 27. Pokushalov E, Romanov A, Corbucci G, et al. A randomized comparison of pulmonary
1512 vein isolation with versus without concomitant renal artery denervation in patients
1513 with refractory symptomatic atrial fibrillation and resistant hypertension. *J Am Coll*
1514 *Cardiol* 2012;60(13):1163-70.
- 1515 28. Rosa J, Widimsky P, Tousek P, et al. Randomized comparison of renal denervation
1516 versus intensified pharmacotherapy including spironolactone in true-resistant
1517 hypertension: six-month results from the Prague-15 study. *Hypertension*
1518 2015;65(2):407-13.

- 1519 29. Rosa J, Widimský P, Waldauf P, et al. Role of Adding Spironolactone and Renal
1520 Denervation in True Resistant Hypertension: One-Year Outcomes of Randomized
1521 PRAGUE-15 Study. *Hypertension* 2016;67(2):397-403.
- 1522 30. Pietzsch JB, Geisler BP, Akehurst RL. Long-term clinical effectiveness and cost-
1523 effectiveness of catheter-based renal denervation in the UK. A model-based
1524 projection based on the symplicity HTN-2 trial. *Value in Health* 2012;15(7):A349.
- 1525 31. Esler MD, Krum H, Sobotka PA, et al. Renal sympathetic denervation in patients with
1526 treatment-resistant hypertension (The Symplicity HTN-2 Trial): a randomised
1527 controlled trial. *Lancet (London, England)* 2010;376(9756):1903-9.
- 1528 32. Esler MD, Krum H, Schlaich M, et al. Renal sympathetic denervation for treatment of
1529 drug-resistant hypertension: one-year results from the Symplicity HTN-2 randomized,
1530 controlled trial. *Circulation* 2012;126(25):2976-82.
- 1531 33. Esler MD, Bohm M, Sievert H, et al. Catheter-based renal denervation for treatment of
1532 patients with treatment-resistant hypertension: 36 month results from the
1533 SYMPLICITY HTN-2 randomized clinical trial. *European heart journal*
1534 2014;35(26):1752-9.
- 1535 34. Bhatt DL, Kandzari DE, O'Neill WW, et al. A controlled trial of renal denervation for
1536 resistant hypertension. *The New England journal of medicine* 2014;370(15):1393-
1537 401.
- 1538 35. Bakris GL, Townsend RR, Flack JM, et al. 12-month blood pressure results of catheter-
1539 based renal artery denervation for resistant hypertension: the SYMPLICITY HTN-3
1540 trial. *J Am Coll Cardiol* 2015;65(13):1314-21.
- 1541 36. Bhatt DL, Bakris GL. Long-term (24-month) blood pressure results of catheter-based
1542 renal artery denervation: SYMPLICITY HTN-3 Randomized Controlled Trial. *Journal of*
1543 *the American College of Cardiology* 2015;66(15):B38-B39.
- 1544 37. Bhatt DL, Kandzari DE, O'Neill WW. A controlled trial of renal denervation for resistant
1545 hypertension. *Journal of Vascular Surgery* 2014;60(1):266.
- 1546 38. Kandzari DE, Bhatt DL, Brar S, et al. Predictors of blood pressure response in the
1547 SYMPLICITY HTN-3 trial. *European heart journal* 2015;36(4):219-27.
- 1548 39. Kario K, Ogawa H, Okumura K, et al. SYMPLICITY HTN-Japan - First Randomized
1549 Controlled Trial of Catheter-Based Renal Denervation in Asian Patients. *Circulation*
1550 *journal : official journal of the Japanese Circulation Society* 2015;79(6):1222-9.
- 1551 40. Esler MD, Krum H, Schlaich M, et al. Renal sympathetic denervation for treatment of
1552 resistant hypertension: One year results from the symplicity HTN-2 randomized
1553 controlled trial. *Journal of the American College of Cardiology* 2012;59(13):E1705.
- 1554 41. Mahfoud F, Schlaich M, Kindermann I, et al. Effect of renal sympathetic denervation on
1555 glucose metabolism in patients with resistant hypertension: a pilot study. *Circulation*
1556 2011;123(18):1940-6.
- 1557 42. Azizi M, Sapoval M, Gosse P, et al. Optimum and stepped care standardised
1558 antihypertensive treatment with or without renal denervation for resistant
1559 hypertension (DENERHTN): a multicentre, open-label, randomised controlled trial.
1560 *Lancet (London, England)* 2015;385(9981):1957-65.
- 1561 43. Desch S, Okon T, Heinemann D, et al. Randomized sham-controlled trial of renal
1562 sympathetic denervation in mild resistant hypertension. *Hypertension*
1563 2015;65(6):1202-8.
- 1564 44. Fadl Elmula FE, Hoffmann P, Larstorp AC, et al. Adjusted drug treatment is superior to
1565 renal sympathetic denervation in patients with true treatment-resistant hypertension.
1566 *Hypertension* 2014;63(5):991-9.

- 1567 45. Corio M AI, Lopatriello S, Taddei S, Nocco U, Perrini MR, Filippi C, Vassanelli C, Jefferson
1568 T, Cerbo M. . Renal Artery Ablation in Patients with Treatment - Resistant
1569 Hypertension: a systematic review. . Agenas 2012;July 2012.
- 1570 46. Drummond MF, Jefferson TO. Guidelines for authors and peer reviewers of economic
1571 submissions to the BMJ. The BMJ Economic Evaluation Working Party. BMJ (Clinical
1572 research ed) 1996;313(7052):275-83.
- 1573 47. Gladwell D, Henry T, Cook M, et al. Cost effectiveness of renal denervation therapy for
1574 the treatment of resistant hypertension in the UK. Applied health economics and
1575 health policy 2014;12(6):611-22.
- 1576 48. Henry TL, De Brouwer BF, Van Keep MM, et al. Cost-effectiveness of renal denervation
1577 therapy for the treatment of resistant hypertension in The Netherlands. Journal of
1578 medical economics 2015;18(1):76-87.
- 1579 49. Geisler BP, Egan BM, Cohen JT, et al. Cost-effectiveness and clinical effectiveness of
1580 catheter-based renal denervation for resistant hypertension. J Am Coll Cardiol
1581 2012;60(14):1271-7.
- 1582 50. Dorenkamp M, Bonaventura K, Leber AW, et al. Potential lifetime cost-effectiveness of
1583 catheter-based renal sympathetic denervation in patients with resistant hypertension.
1584 European heart journal 2013;34(6):451-61.
- 1585 51. Hsu CY, Iribarren C, McCulloch CE, et al. Risk factors for end-stage renal disease: 25-
1586 year follow-up. Archives of internal medicine 2009;169(4):342-50.
- 1587 52. Hsu CY, Vittinghoff E, Lin F, et al. The incidence of end-stage renal disease is increasing
1588 faster than the prevalence of chronic renal insufficiency. Annals of internal medicine
1589 2004;141(2):95-101.
- 1590 53. Krum H. Catheter-based renal sympathetic denervation for resistant hypertension:
1591 durability of blood pressure reduction out to 24 months. Hypertension
1592 2011;57(5):911-7.
- 1593 54. Hsu CY, McCulloch CE, Darbinian J, et al. Elevated blood pressure and risk of end-stage
1594 renal disease in subjects without baseline kidney disease. Archives of internal
1595 medicine 2005;165(8):923-8.

1596